

# What is temperature?

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In collaboration with  
Dr. Gerhard Schmid

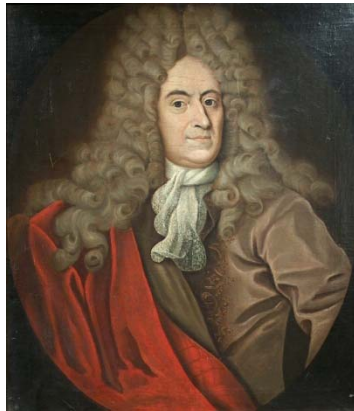
# First thermometer & temperature scales

- 1638: Robert Fludd – air thermometer & scale
- ~1700: linseed oil thermometer by Newton
- 1701: red wine as temperature indicator by Rømer
- 1702: Guillaume Amontons: Absolute zero temperature?
- 1714: mercury and alcohol thermometer by Fahrenheit

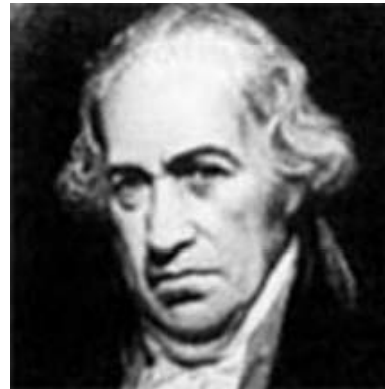
## Definition of temperature scales



**Sir Isaac Newton**  
(1643 – 1727)



**Olaf Christensen  
Rømer**  
(1644 – 1710)



**Daniel Gabriel  
Fahrenheit**  
(1686 – 1736)



**René Antoine  
Ferchault de  
Réaumur**  
(1683 – 1757)



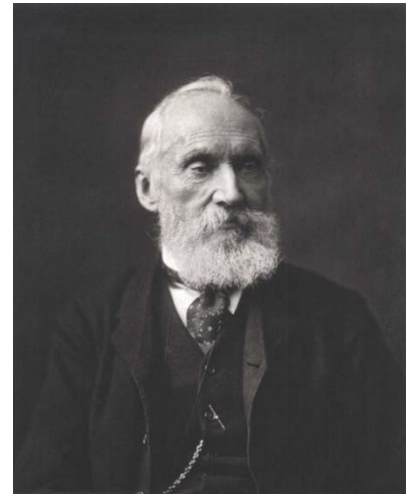
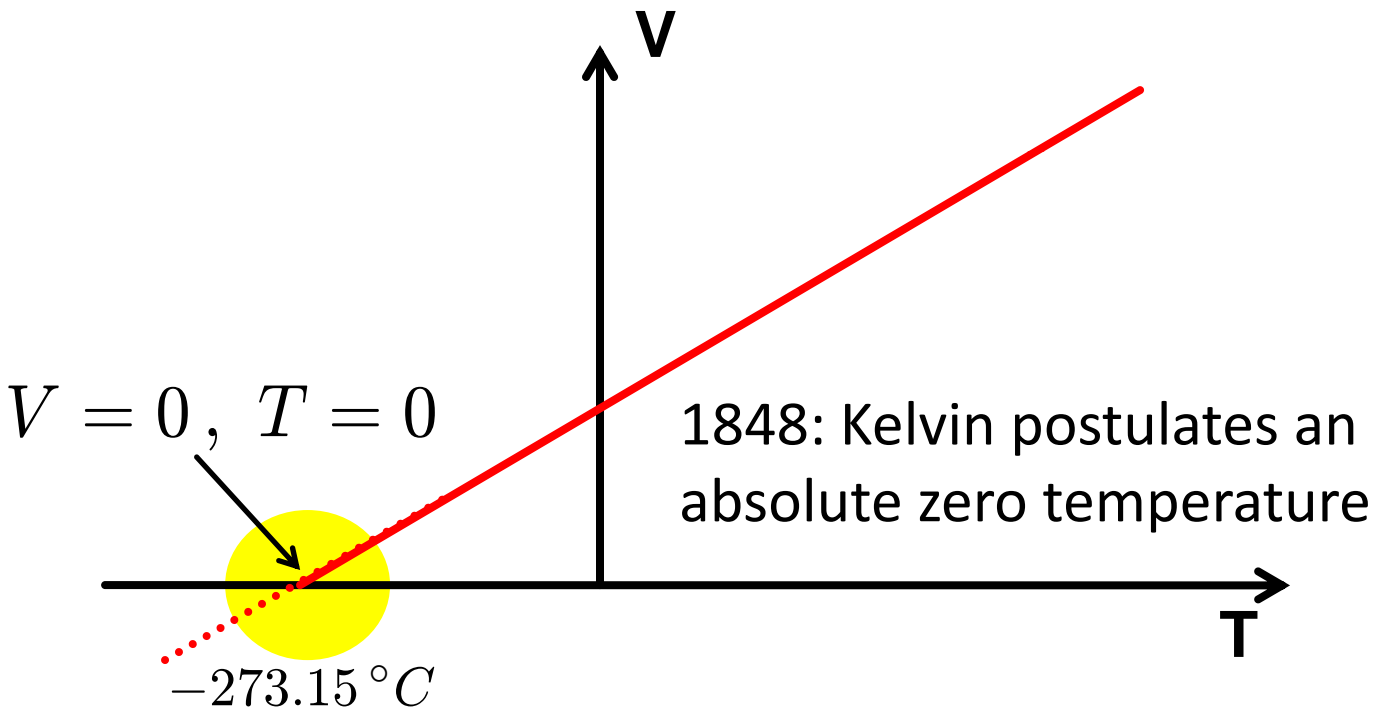
**Anders Celsius**  
(1701 – 1744)

# *Typical* temperature values [°C]

Boiling point of Nitrogen	-195.79
Lowest recorded surface temperature on Earth (Vostok, Antarctica – July 21, 1983)	-89
Highest recorded surface temperature on Earth (Al' Aziziyah, Libya – September 13, 1922)	58
Temperature in the Earth's Thermosphere (80 - 650 km above the surface)	~ 1500
Melting point of diamond	3547
Surface temperature of the sun (photosphere)	~ 5526
Temperature in the interior of the sun	~ $15 \cdot 10^6$

# Absolute Zero

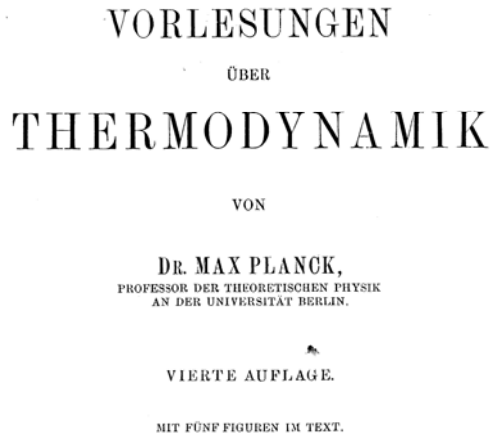
Ideal gas law:  $pV = Nk_B T$



**William Thomson**  
— Lord Kelvin  
(1824 – 1907)

*It is impossible by any procedure to reduce the temperature of a system to zero in a finite number of operations.*

# How to determine the absolute thermodynamic temperature



LEIPZIG,  
VERLAG VON VEIT & COMP.  
1913

$$\log \frac{T}{T_0} = \int_{t_0}^t \frac{\left(\frac{dv}{dt}\right)_p dt}{v + c'_p \left(\frac{dt}{dp}\right)}$$

$t$  : arbitrary temperature scale

$v$  : volume

$c'_p$  : specific heat at constant pressure

**“ ... wo nun wieder unter dem Integralzeichen lauter direkt und verhältnismäßig bequem meßbare Größen stehen. ... ”**

M. Planck

# Low temperature milestones

1908: liquid helium; 5 K



**Heike Kamerlingh  
Onnes**  
(1853 – 1926)

1995: Bose-Einstein-  
Condensate; 20 nK



Eric A. Cornell



Carl E. Wieman



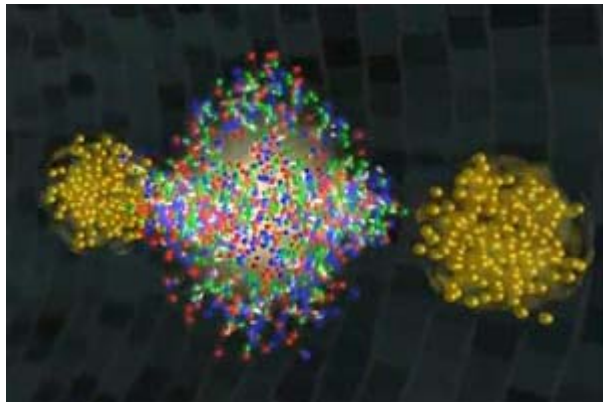
Wolfgang Ketterle

2003: BEC; 450 pK – W. Ketterle

# Temperature Extrema

-89,2°C	21. Juli 1983	Wostok-Station	Antarktis	Gilt als der Kältepol der Erde; nicht offizielle bestätigter Wert: -91,5°C von 1997
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70,7°C	2007	Iranische Wüste	Lut, Iran	Hitzeweltrekord übertroffen; Messung allerdings per Satellitenbeobachtung
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p + n -> quark gluon plasma  
with gold ion collisions in  
Relativistic Heavy Ion Collider (RHIC)

$4 \times 10^{12} \text{ } ^\circ\text{C}$



# Planck units

Name	Expression	SI equivalents
Planck temperature	$T_P = \sqrt{\hbar c^5 G^{-1} k^{-2}}$	$1.41168 \cdot 10^{32} \text{ K}$
Planck length	$l_P = \sqrt{\hbar G c^{-3}}$	$1.61625 \cdot 10^{-35} \text{ m}$
Planck mass	$m_P = \sqrt{\hbar c G^{-1}}$	$2.17644 \cdot 10^{-8} \text{ kg}$
Planck time	$t_P = \sqrt{\hbar G c^{-5}}$	$5.39124 \cdot 10^{-44} \text{ s}$

**... ihre Bedeutung für alle Zeiten und für alle, auch außerirdische und außermenschliche Kulturen notwendig behalten und welche daher als natürliche Maßeinheiten bezeichnet werden können ...**

**... These necessarily retain their meaning for all times and for all civilizations, even extraterrestrial and non-human ones, and can therefore be designated as natural units ...**

(Planck, 1899)



# *The highest temperature you can see*



**Lightning:**

**30 000 °C**

Fuse soil or sand into glass



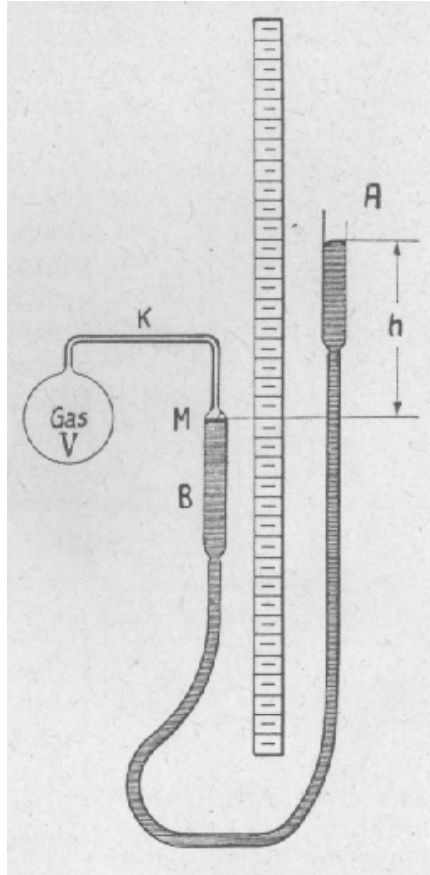
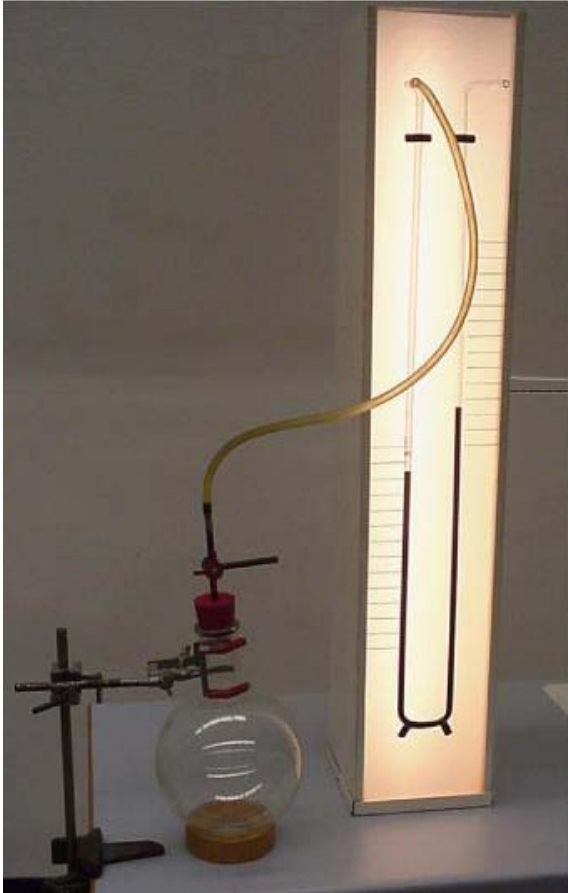
Woher weiß man ...

... wie heiß  
ein Blitz ist?

**Z**uckt ein Blitz über den Gewitterhimmel, erwärmt sich sein Strahl auf bis zu 30 000 Grad Celsius. Das ist unglaubliche 20 Mal heißer als eine Kerzenflamme! Zu diesem Ergebnis kamen Physiker, indem sie Blitze mit einem sogenannten Spektrometer untersuchten. Dieses Gerät fängt alle Lichtwellen auf einem Blitz ein – auch die für uns unsichtbaren. Woher aber kommt das

Licht des Blitzes? Wenn sich ein Blitz entlädt, fließen ungeheure Strommengen. Die Luftteilchen heizen sich auf und geraten in Schwingung. Einen Teil der zugeführten Energie geben sie als Licht wieder ab. Physiker messen nun nicht nur die Wellenlängen dieses Lichts, sondern auch deren Verteilung und Intensität. Anhand dieser Werte können sie auf die Temperatur schließen.

# Gas Thermometers



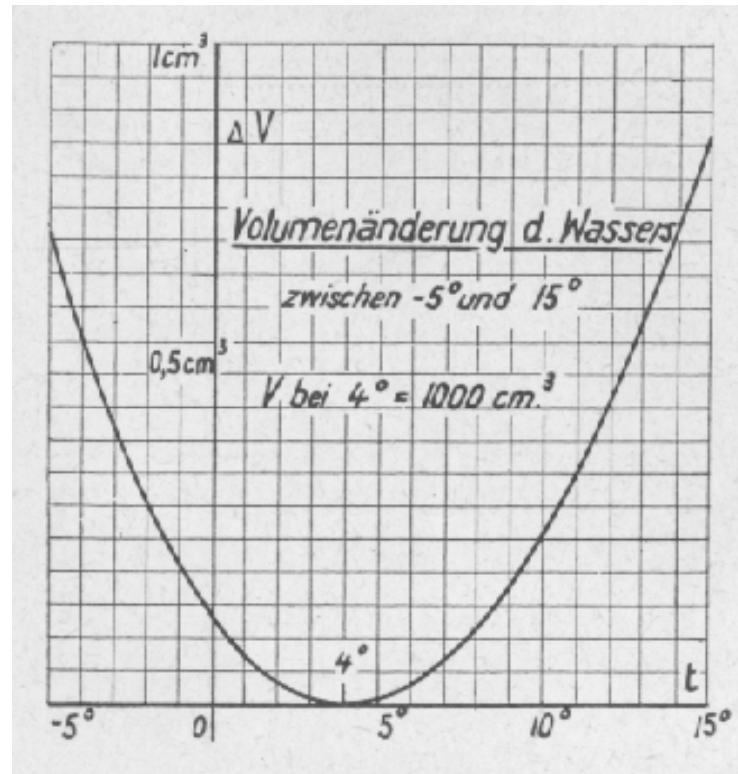
Ideal gas:

$$T = \left( \frac{V}{N k_B} \right) p$$

# Galilei Thermometer

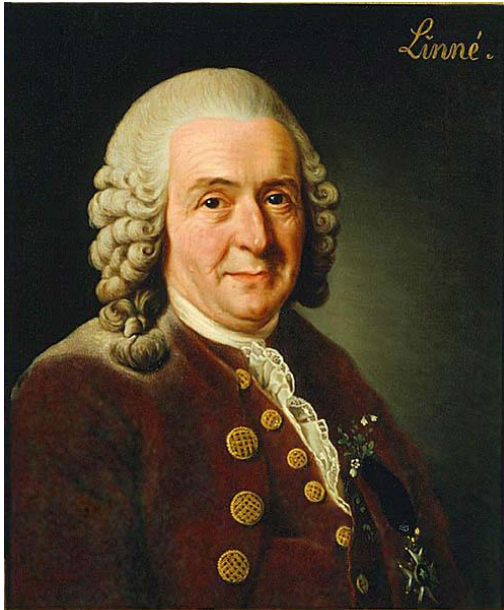


Volume change of water



Upon increasing  $T \rightarrow 4^{\circ}\text{C} \rightarrow$  Water volume shrinks

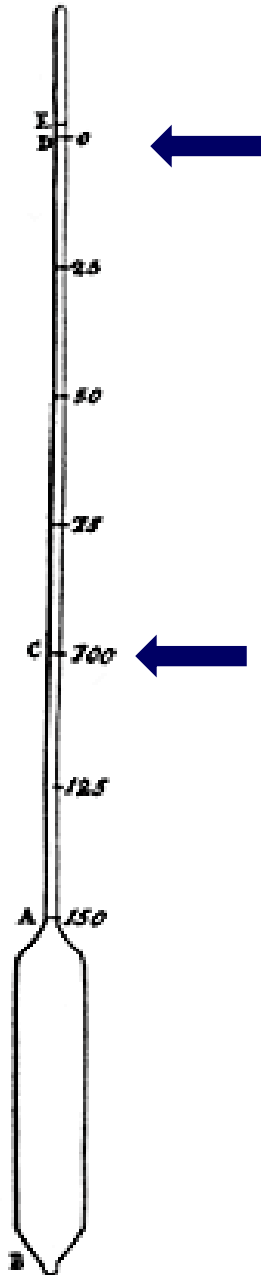
# Linneaus thermometer



**Carl von Linné**  
(1707 – 1778)

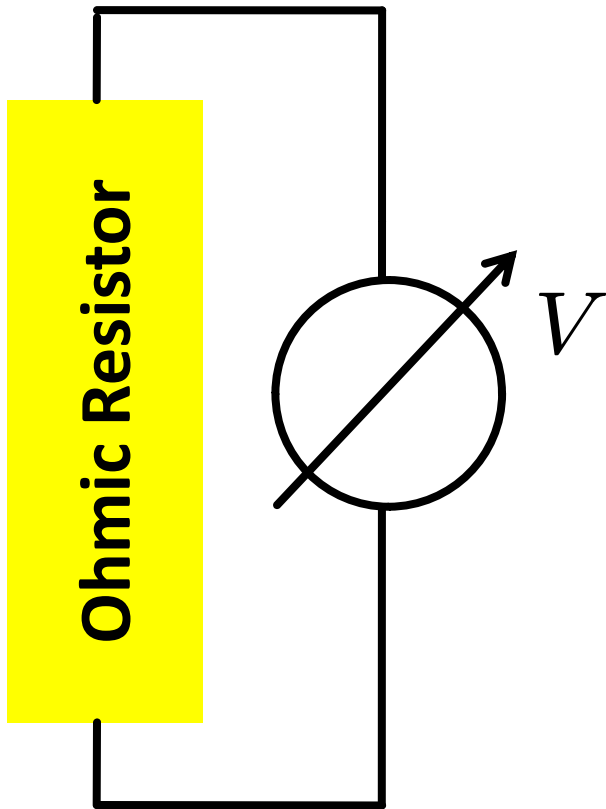
Reversed the Celsius scale

1744: broken on delivery  
1745: botanical garden in Uppsala



Anders Celsius

# Noise Thermometer



Johnson – Nyquist noise

$$\text{PSD}_V(\omega) = 2k_B T R$$

-- classical regime only --

$\text{PSD}_V$  : power spectral density  
of the voltage signal

$k_B$  : Boltzmann constant

$R$  : resistance

# Quantum fluctuation-dissipation theorem

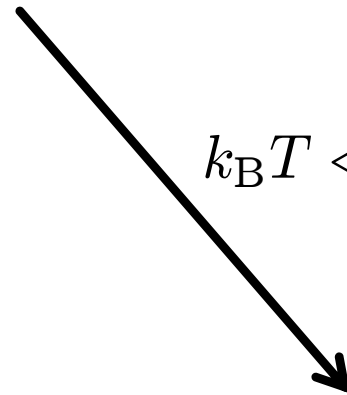
$$\begin{aligned} PSD_I(\omega) &= \hbar\omega \coth\left(\frac{\hbar\omega}{2k_B T}\right) \operatorname{Re}Y(\omega) \\ &= 2\left(\frac{\hbar\omega}{2} + \frac{\hbar\omega}{\exp(\beta\hbar\omega) - 1}\right) \operatorname{Re}Y(\omega) \end{aligned}$$

$k_B T \gg \hbar\omega$



$$PSD_I(\omega) = 2k_B T \operatorname{Re}Y(\omega)$$

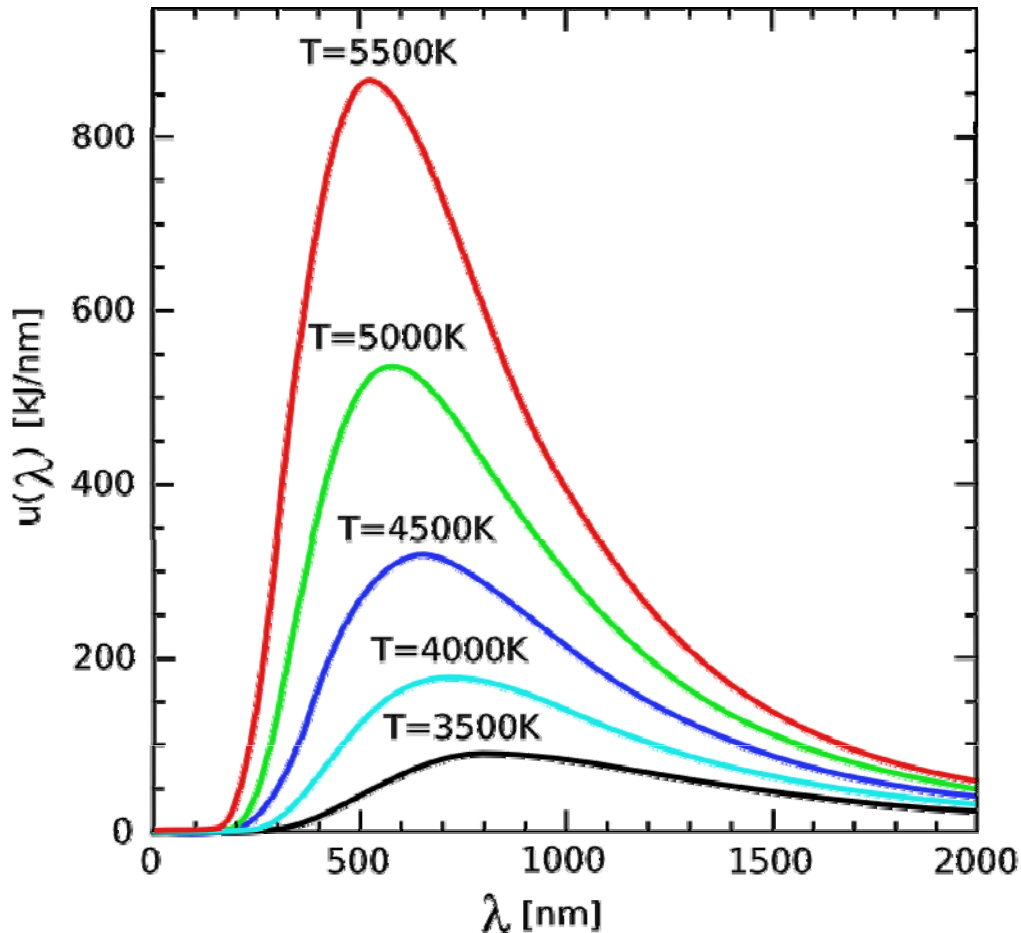
$k_B T \ll \hbar\omega$



$$PSD_I(\omega) = \hbar\omega \operatorname{Re}Y(\omega)$$

# Black body radiation

Planck's law [1901]:  $u(\lambda, T) = \frac{8\pi hc}{\lambda^5} \frac{1}{\exp\left(\frac{hc}{\lambda k_B T}\right) - 1}$



$u(\lambda, T)$  : spectral energy density

$\lambda$  : wavelength

$h$  : Planck constant

$c$  : speed of light

$k_B$  : Boltzmann constant

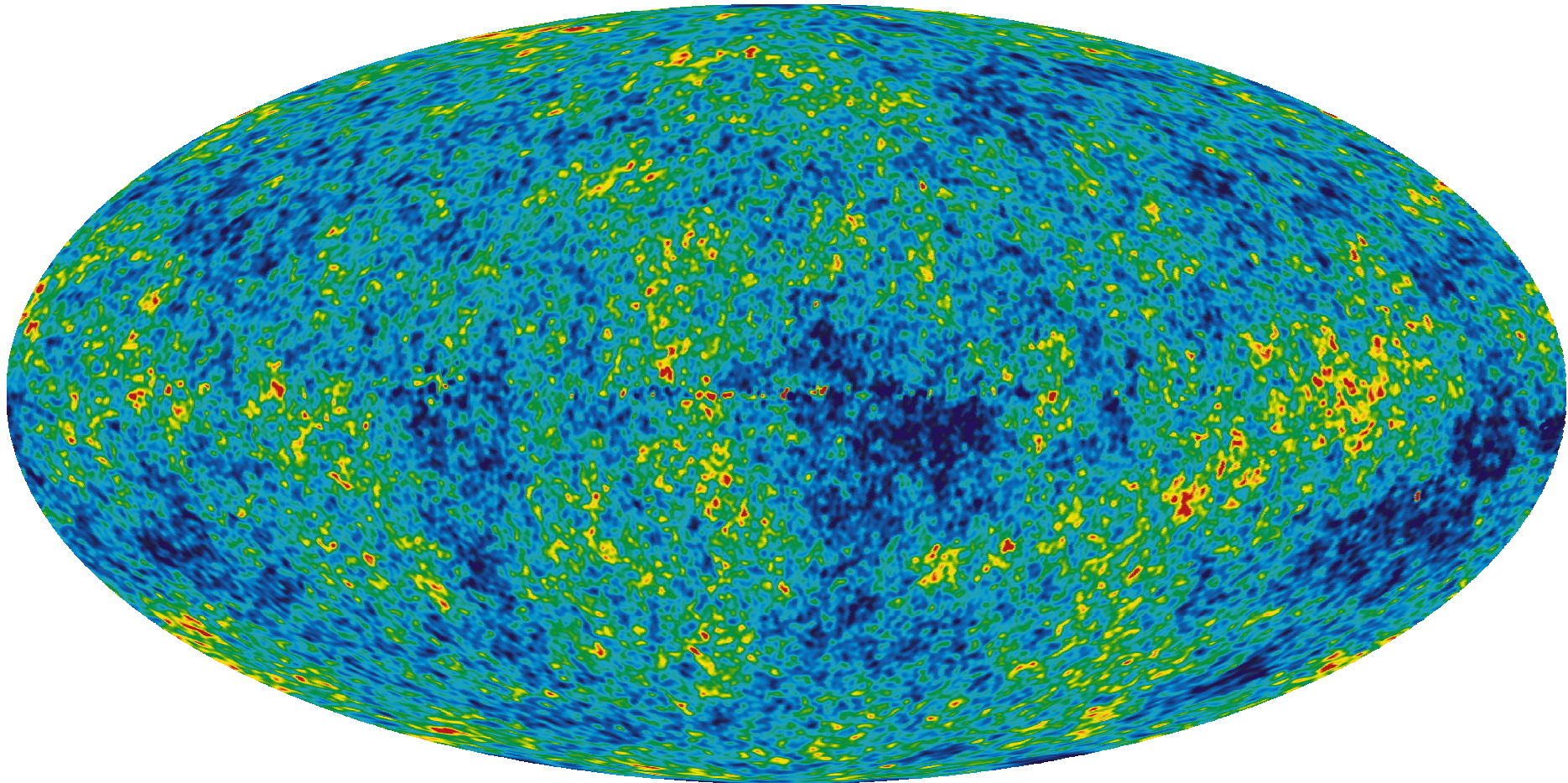
Stefan – Boltzmann law:

$$E \propto T^4$$

**Thermometer !**



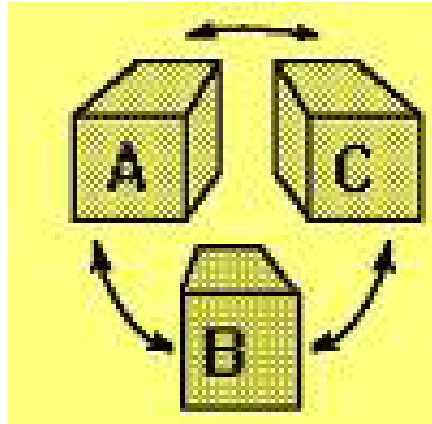
# Cosmic background temperature



$$T = 2.725 \pm \dots \text{ K}$$

# **Four Grand Laws of Thermodynamics**

# Zeroth Law



Transitivity !

A in equilibrium with B:  $f_{AB}(p_A, V_A; p_B, V_B, \dots) = 0$

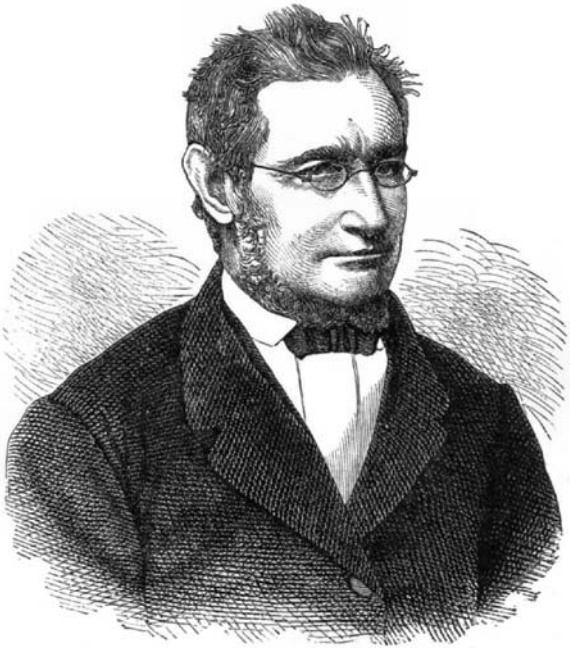
B in equilibrium with C:  $f_{BC}(p_B, V_B; p_C, V_C, \dots) = 0$

$\Rightarrow$  A in equilibrium with C  $\Leftrightarrow f_{AC}(p_A, V_A; p_C, V_C, \dots) = 0$

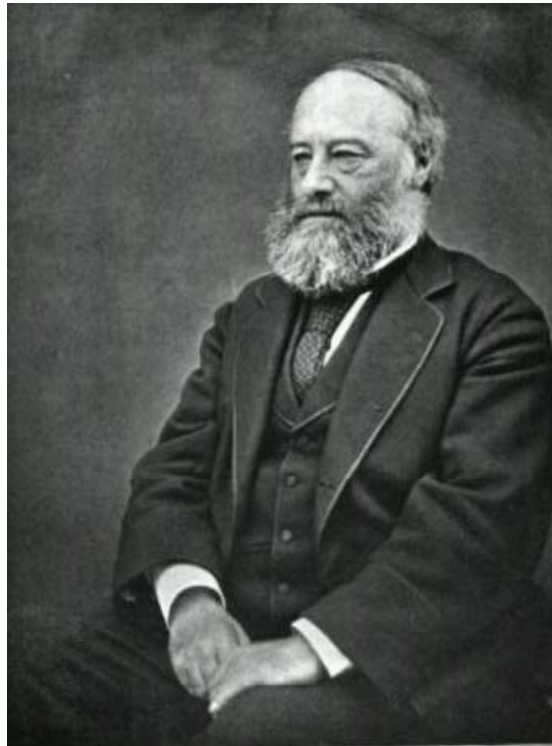
Allows the formal introduction of a temperature:

$$T = T_A(p_A, V_A; \dots) = T_B(p_B, V_B; \dots) = T_C(p_C, V_C; \dots)$$

# First Law



**Julius Robert  
von Mayer**  
(1814 – 1878)



**James Prescott  
Joule**  
(1818 – 1889)



**Hermann von  
Helmholtz**  
(1821 – 1894)

# First Law – Energy Conservation

$$\Delta U = \Delta Q + \Delta W$$

$\Delta U$  change in internal energy

$\Delta Q$  heat added on the system

$\Delta W$  work done on the system

H. von Helmholtz: “Über die Erhaltung der Kraft” (1847)

$$\Delta U = (T\Delta S)_{\text{quasi-static}} - (p\Delta V)_{\text{quasi-static}}$$

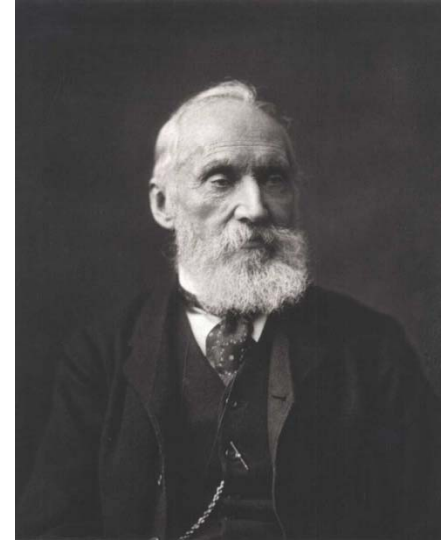
# Second Law



**Rudolf Julius Emanuel Clausius**  
(1822 – 1888)

Heat generally cannot spontaneously flow from a material at lower temperature to a material at higher temperature.

$$\delta Q = TdS \quad (\text{Zürich, 1865})$$



**William Thomson alias Lord Kelvin**  
(1824 – 1907)

No cyclic process exists whose sole effect is to extract heat from a single heat bath at temperature  $T$  and convert it entirely to work.

# The famous Laws

## Equilibrium Principle -- minus first Law

*An isolated, macroscopic system which is placed in an arbitrary initial state within a finite fixed volume will attain a unique state of equilibrium.*

## Second Law (Clausius)

*For a non-quasi-static process occurring in a thermally isolated system, the entropy change between two equilibrium states is non-negative.*

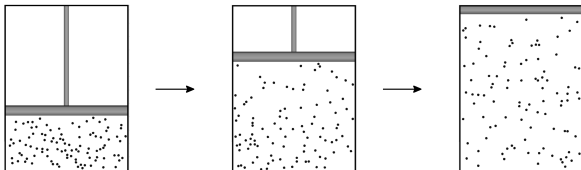
## Second Law (Kelvin)

*No work can be extracted from a closed equilibrium system during a cyclic variation of a parameter by an external source.*

# MINUS FIRST LAW vs. SECOND LAW



**-1st Law**



**2nd Law**



# Thermodynamic Temperature

$$\delta Q^{\text{rev}} = T dS \leftarrow \text{thermodynamic entropy}$$

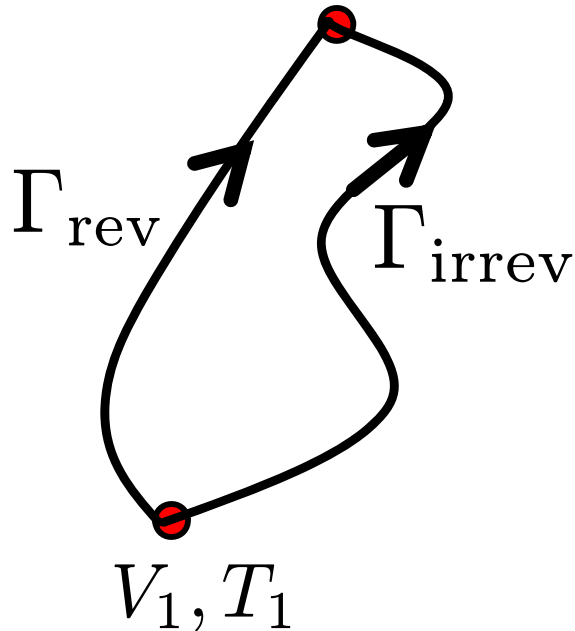
$$S = S(E, V, N_1, N_2, \dots; M, P, \dots)$$

$S(E, \dots)$ : (continuous) & differentiable and  
**monotonic** function of the internal energy  $E$

$$\left( \frac{\partial S}{\partial E} \right)_{\dots} = \frac{1}{T}$$

# Entropy $S$ – content of *transformation* „Verwandlungswert“

$$dS_{V_2, T_2} = \delta Q^{\text{rev}} / T; \quad \delta Q^{\text{irrev}} < \delta Q^{\text{rev}}$$



$$\oint_C \frac{\delta Q}{T} \leq 0$$

$$C = \Gamma_{\text{rev}} + \Gamma_{\text{irrev}}^{-1}$$

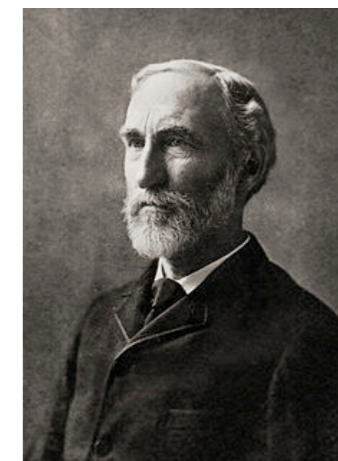
$$\left. \begin{aligned}
 S(V_2, T_2) - S(V_1, T_1) &\geq \int_{\Gamma_{\text{irrev}}} \frac{\delta Q}{T} \\
 S(V_2, T_2) - S(V_1, T_1) &= \int_{\Gamma_{\text{rev}}} \frac{\delta Q^{\text{rev}}}{T}
 \end{aligned} \right\} \frac{\partial S}{\partial t} \geq 0 \quad \text{NO !}$$

GIBBS, JOSIAH WILLARD.

Scribner's sons

*Elementary principles  
in statistical mechanics*

New York 1902



CHAPTER VIII.

ON CERTAIN IMPORTANT FUNCTIONS OF THE  
ENERGIES OF A SYSTEM.

IN order to consider more particularly the distribution of a canonical ensemble in energy, and for other purposes, it will be convenient to use the following definitions and notations.

Let us denote by  $V$  the extension-in-phase below a certain limit of energy which we shall call  $\epsilon$ . That is, let

$$V = \int \dots \int dp_1 \dots dq_n, \quad (265)$$

the integration being extended (with constant values of the external coördinates) over all phases for which the energy is less than the limit  $\epsilon$ . We shall suppose that the value of this integral is not infinite, except for an infinite value of the limiting energy. This will not exclude any kind of system to

CHAPTER XIV.

DISCUSSION OF THERMODYNAMIC ANALOGIES.

IF we wish to find in rational mechanics an *a priori* foundation for the principles of thermodynamics, we must seek mechanical definitions of temperature and entropy. The quantities thus defined must satisfy (under conditions and with limitations which again must be specified in the language of mechanics) the differential equation

$$d\epsilon = T d\eta - A_1 da_1 - A_2 da_2 - \text{etc.}, \quad (482)$$

where  $\epsilon$ ,  $T$ , and  $\eta$  denote the energy, temperature, and entropy of the system considered, and  $A_1 da_1$ , etc., the mechanical work (in the narrower sense in which the term is used in thermodynamics, *i. e.*, with exclusion of thermal action) done upon external bodies.

The quantity in the equation which corresponds to entropy is  $\log V$ , the quantity  $V$  being defined as the extension-in-phase within which the energy is less than a certain limiting value ( $\epsilon$ ).

# Entropy in Stat. Mech.

$$S = k_B \ln \Omega(E, V, \dots)$$

QM:  $\Omega_G(E, V, \dots) = \sum_{0 \leq E_i \leq E} 1$



**classical**

Gibbs:  $\Omega_G = \left( \frac{1}{N! h^{\text{DOF}}} \right) \int d\Gamma \Theta(E - H(\underline{q}, \underline{p}; V, \dots))$

Boltzmann:  $\Omega_B = \epsilon_0 \frac{\partial \Omega_G}{\partial E} \propto \int d\Gamma \delta(E - H(\underline{q}, \underline{p}; V, \dots))$   
density of states

# Third Law



Walter Hermann NERNST  
(1864 - 1941)

„mein Wärmesatz“

(during his lecture August 15, 1905)

$$\frac{\Delta H - \Delta G}{T} = \Delta S \longrightarrow 0 \quad \text{as} \quad T \longrightarrow 0$$

## ... and Planck's version



Max PLANCK  
(1858 - 1947)

The entropy  $s = S/N$  per particle approaches at  $T = 0$  a constant ( $s_0 = k_B \ln g(N)/N$ ) value that possibly depends on the chemical composition of the system. This limiting value can generally be set to zero.

# THIRD LAW AND PLANCK

## VORLESUNGEN ÜBER THERMODYNAMIK

1913

VON  
DR. MAX PLANCK,  
PROFESSOR DER THEORETISCHEN PHYSIK  
AN DER UNIVERSITÄT BERLIN.

Zunächst ist leicht einzusehen, daß man, da der Wert der Entropie eine willkürliche additive Konstante enthält, jenen für  $T = 0$  eintretenden Wert unbeschadet der Allgemeinheit gleich Null setzen kann, so daß das NERNSTSche Wärmetheorem nun lautet:

**Beim Nullpunkt der absoluten Temperatur besitzt die Entropie eines jeden chemisch homogenen festen oder flüssigen Körpers den Wert Null.**

<sup>2</sup> Diese Fassung des Theorems ist inhaltlich etwas weitergehend als die von NERNST a. a. O. selber gegebene, nach welcher für  $T = 0$  die Differenz der Entropien eines solchen Körpers in zwei verschiedenen Modifikationen gleich Null ist. Letzterer Satz läßt nämlich noch die Möglichkeit offen, daß die Entropie selber für  $T = 0$  negativ unendlich wird.

<sup>1</sup> Nach der von NERNST selber gegebenen Fassung (§ 282) tritt an die Stelle der Gleichung (256) die folgende:

$$S' - S = \int_0^T \frac{C_p' - C_p}{T} dT,$$

wobei  $S' - S$  die Differenz der Entropien eines festen oder flüssigen Körpers in zwei verschiedenen Modifikationen bezeichnet. Dann kann man für  $T = 0$  nur schließen, daß  $C_p' = C_p$ , aber nicht, daß  $C_p' = C_p = 0$ . Würden  $C_p$  und  $C_p'$  für  $T = 0$  endlich bleiben, so wäre die Entropie  $S$  für  $T = 0$  nicht gleich Null, sondern negativ unendlich. Es ist daher von Wichtigkeit zu bemerken, daß, wenn die Folgerung  $C_p = 0$  durch die Erfahrung nicht bestätigt werden sollte, die NERNSTSche Fassung des Theorems deshalb doch anfrecht erhalten werden könnte.



## The Nobel Prize in Chemistry 1949

"for his contributions in the field of chemical thermodynamics, particularly concerning the behaviour of substances at extremely low temperatures"



**William Francis  
Giaque**

USA

University of California  
Berkeley, CA, USA

b. 1895  
d. 1982



# Temperature Fluctuations – An Oxymoron

Ch. Kittel, Physics Today, May 1988, p. 93



$T$  does NOT fluctuate!

$$|\Delta U| |\Delta\beta| = 1 \leftrightarrow |\Delta U| |\Delta T| = k_B T^2 \quad \text{NO!}$$

“...Temperature is precisely defined only for a system in thermal equilibrium with a heat bath: The temperature of a system A, however small, is defined as equal to the temperature of a very large heat reservoir B with which the system is in equilibrium and in thermal contact. Thermal contact means that A and B can exchange energy, although insulated from the outer World. ...”

# DOES T FLUCTUATE?

I SAY NO

$$\left(\frac{\partial S}{\partial E}\right) = \frac{1}{T} \quad ; \quad \langle (\Delta E)^2 \rangle = k_B T^2 C$$

$$\left(\frac{\partial^2 S}{\partial E^2}\right) = -\frac{1}{T^2} \left(\frac{dT}{dE}\right) = \frac{-1}{CT^2} \quad (*)$$

$$\begin{aligned} \Delta\left(\frac{1}{T}\right) \Delta\left(\frac{1}{T}\right) &= -\frac{1}{T^2}(\Delta T) - \frac{1}{T^2}(\Delta T) = \frac{(\Delta T)^2}{T^4} \quad ; \text{ with } (*) \\ &= \left(\frac{\partial^2 S}{\partial E^2}\right) \left(\frac{\partial^2 S}{\partial E^2}\right) (\Delta E)^2 = \frac{1}{C^2 T^4} \cdot k_B T^2 C \end{aligned}$$

$$\rightarrow \langle (\Delta T)^2 \rangle = \frac{k_B T^2 C}{C^2} = \frac{k_B T^2}{C}$$

$C \Delta T = \Delta E$

$\downarrow$   
" $(\Delta E)^2$ " /  $C^2$

A 'SYMBOL' FOR " $\Delta E$ "'S

# Estimate of temperature and its uncertainty in small systems

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Received 30 September 2010; accepted 12 February 2011

The energy of a finite system thermally connected to a thermal reservoir may fluctuate, while the temperature is a constant representing a thermodynamic property of the reservoir. The finite system can also be used as a thermometer for the reservoir. From such a perspective, the temperature has an uncertainty, which can be treated within the framework of estimation theory. We review the main results of this theory and clarify some controversial issues regarding temperature fluctuations. We also offer a simple example of a thermometer with a small number of particles. We discuss the relevance of the total observation time, which must be much longer than the decorrelation time.

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DOI: 10.1119/1.3563046

# ? Negative Temperature ?

**Spin system:**  $|\vec{S}| = 1/2$ ;  $\vec{\mu} = \gamma\vec{S}$ ;  $H = -\sum \vec{\mu}_i \cdot \vec{B}$

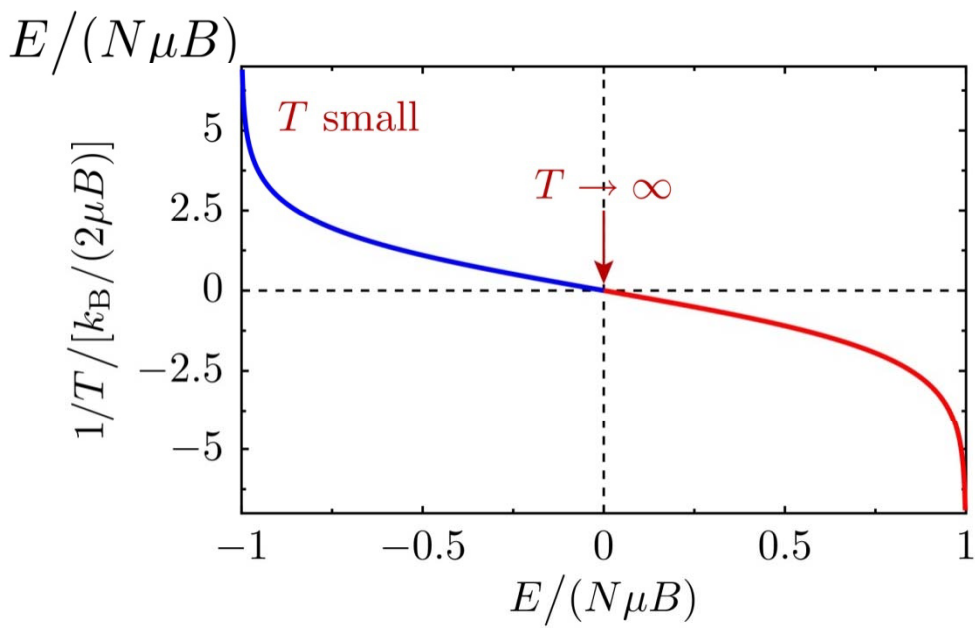
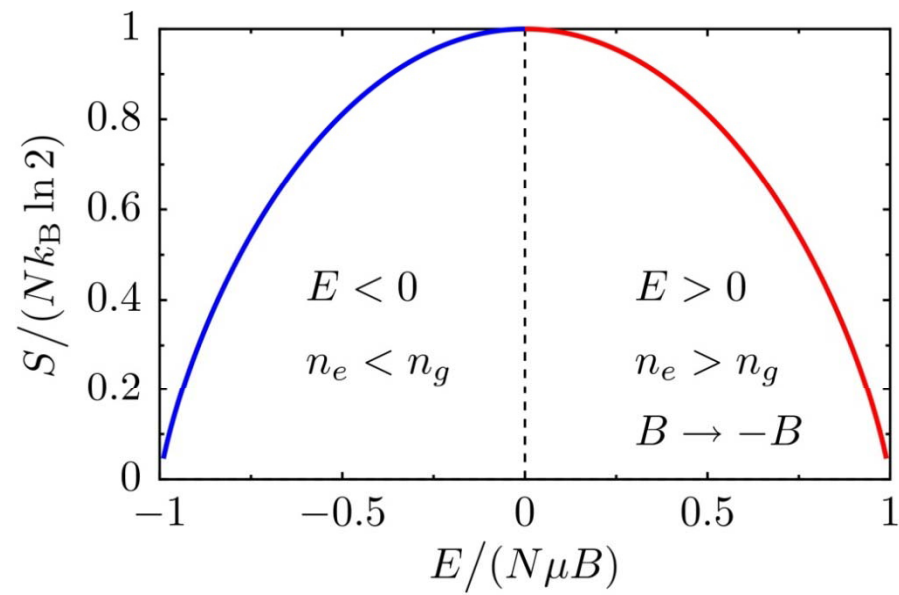
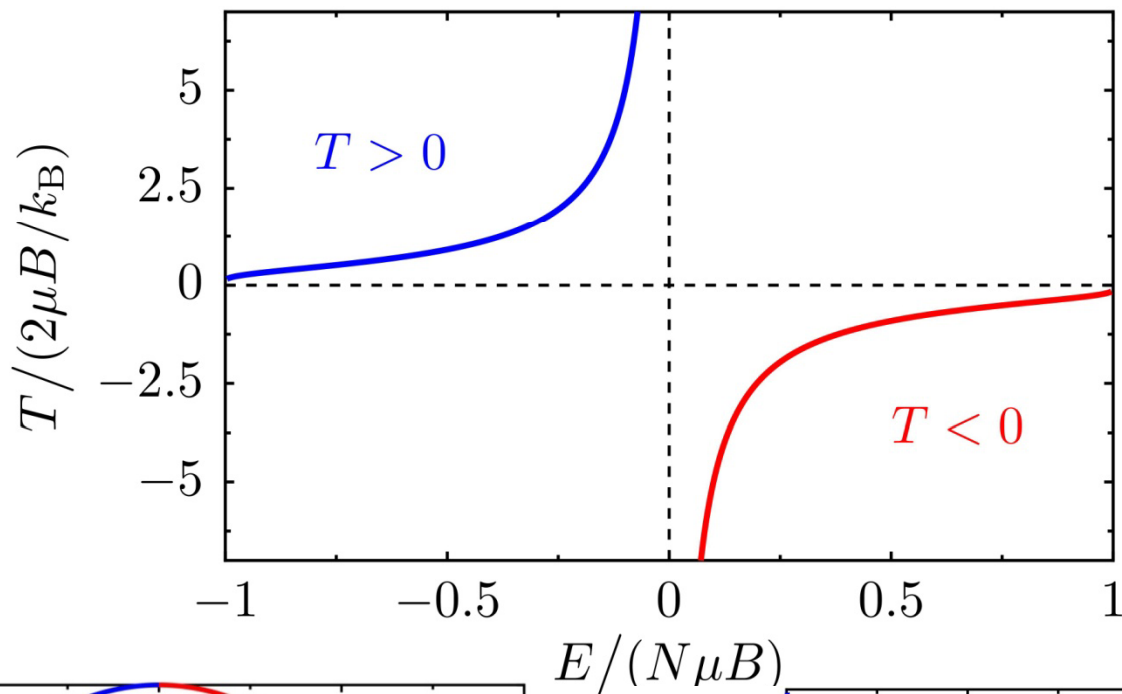
$\vec{S} \parallel \vec{B} \Rightarrow$  Two-State-System:  $\epsilon_g = -\frac{1}{2}\gamma B < \epsilon_e = +\frac{1}{2}\gamma B = \mu B$

$N = n_g + n_e$  &  $E = \mu B(n_e - n_g)$ , typically  $E < 0$

$\Rightarrow n_g = \frac{1}{2} \left( N - \frac{E}{\mu B} \right)$        $\Omega = \frac{N!}{n_g! n_e!} \Rightarrow S = k_B \ln \Omega$

$\Rightarrow n_e = \frac{1}{2} \left( N + \frac{E}{\mu B} \right)$        $\Rightarrow \frac{1}{T} = \frac{\partial S}{\partial E}$

# Negative (Spin)-Temperature!



# Entropy in Stat. Mech.

$$S = k_B \ln \Omega(E, V, \dots)$$

**Gibbs:**  $\Omega_G = \left( \frac{1}{N! h^{\text{DOF}}} \right) \int d\Gamma \Theta(E - H(\underline{q}, \underline{p}; V, \dots))$

**Boltzmann:**  $\Omega_B = \epsilon_0 \frac{\partial \Omega_G}{\partial E} \propto \int d\Gamma \delta(E - H(\underline{q}, \underline{p}; V, \dots))$

density of states

```

In[1]:= SΩ[x_, n_, μ_, B_] :=
  n Log[2] - n / 2 (1 + x / (μ B n)) Log[1 + x / (μ B n)] - n / 2 (1 - x / (μ B n)) Log[1 - x / (μ B n)]
W[x_, n_, μ_, B_] := Exp[SΩ[x, n, μ, B]]
Ω[x_, n_, μ_, B_] := Exp[SΩ[x, n, μ, B]] / (2 μ B)
Ωe[x_, n_, μ_, B_] := Derivative[1, 0, 0, 0][Ω][x, n, μ, B]
ΩB[x_, n_, μ_, B_] := Derivative[0, 0, 0, B][Ω][x, n, μ, B]
Φ[x_, n_, μ_, B_] := NIntegrate[Ω[y, n, μ, B], {y, -n μ B, x}]
ΦB[x_, n_, μ_, B_] := NIntegrate[ΩB[y, n, μ, B], {y, -n μ B, x}]
S[x_, n_, μ_, B_] := Log[Φ[x, n, μ, B]]
T[x_, n_, μ_, B_] := Φ[x, n, μ, B] / Ω[x, n, μ, B]
TΩ[x_, n_, μ_, B_] := Ω[x, n, μ, B] / Ωe[x, n, μ, B]
MΩ[x_, n_, μ_, B_] := ΩB[x, n, μ, B] / Ωe[x, n, μ, B]
M[x_, n_, μ_, B_] := -x / B

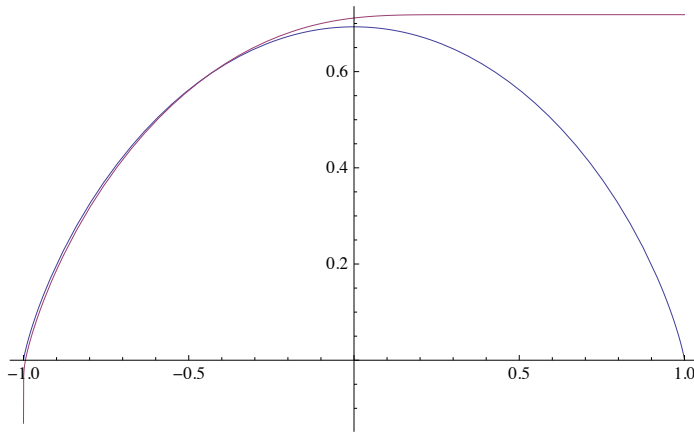
```

```

In[22]:= n = 10^2;
m = 10^8;
Plot[{SΩ[en, n, 1, 1] / n, S[en, n, 1, 1] / n}, {e, -1, 1}]
Plot[{SΩ[em, m, 1, 1] / m, S[em, m, 1, 1] / m}, {e, -1, 1}]
Plot[{TΩ[en, n, 1, 1], T[en, n, 1, 1]}, {e, -1, 1}, PlotRange -> {-100, 100}]
Plot[{TΩ[em, m, 1, 1], T[em, m, 1, 1]}, {e, -1, 1}, PlotRange -> {-100, 100}]
Plot[{MΩ[en, n, 1, 1] / n, M[en, n, 1, 1] / n}, {e, -1, 1}]
Plot[{MΩ[em, m, 1, 1] / m, M[em, m, 1, 1] / m}, {e, -1, 1}]
Clear[n, m]

```

Out[24]=

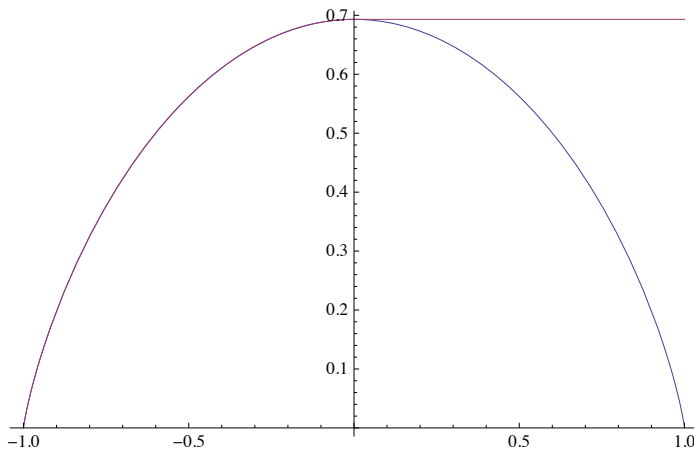


Purple: S\_Phi / N  
Blue: S\_Omega / N

N=100

x-axis in all graphs is  $E/(2\mu N)$

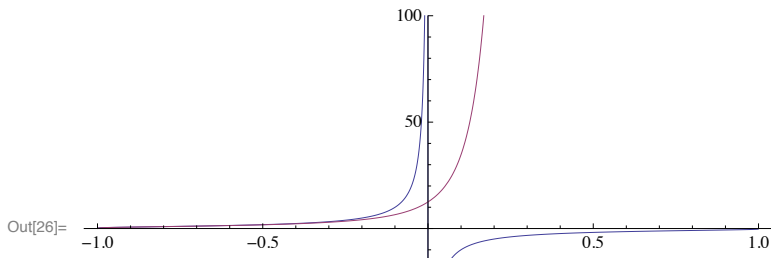
Out[25]=



Purple: S\_Phi / N  
Blue: S\_Omega / N

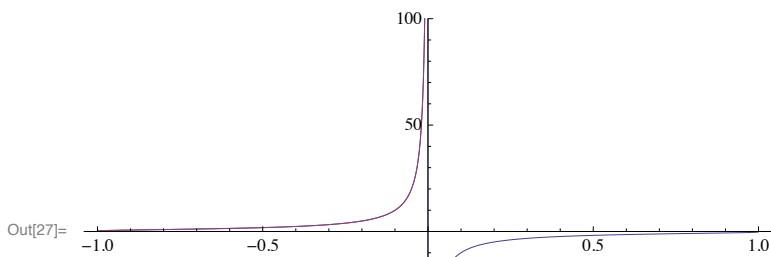
N=10^8

Limit Value = Log 2



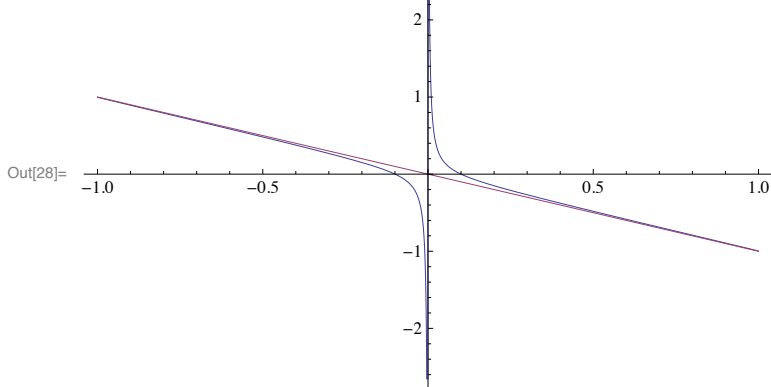
Purple: T\_Phi  
Blue: T\_Omega

N=100



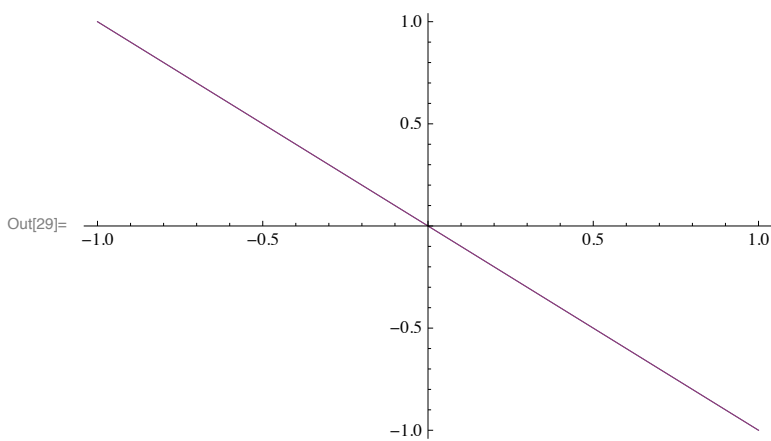
Purple: T\_Phi  
Blue: T\_Omega

N=10<sup>8</sup>



Purple: M\_Phi  
Blue: M\_Omega

N=100



Purple: M\_Phi  
Blue: M\_Omega

N=10<sup>8</sup>



# Inconsistent thermostatics and negative absolute temperatures

Jorn Dunkel (DAMTP)

arxiv: 1304.2066

# Example I: Classical ideal gas

$$\Omega(E, V) = \alpha E^{dN/2} V^N, \quad \alpha = \frac{(2\pi m)^{dN/2}}{N! h^d \Gamma(dN/2 + 1)}$$

$$S_B(E, V, A) = k_B \ln[\epsilon \omega(E)]$$

$$E = \left( \frac{dN}{2} - 1 \right) k_B T_B$$

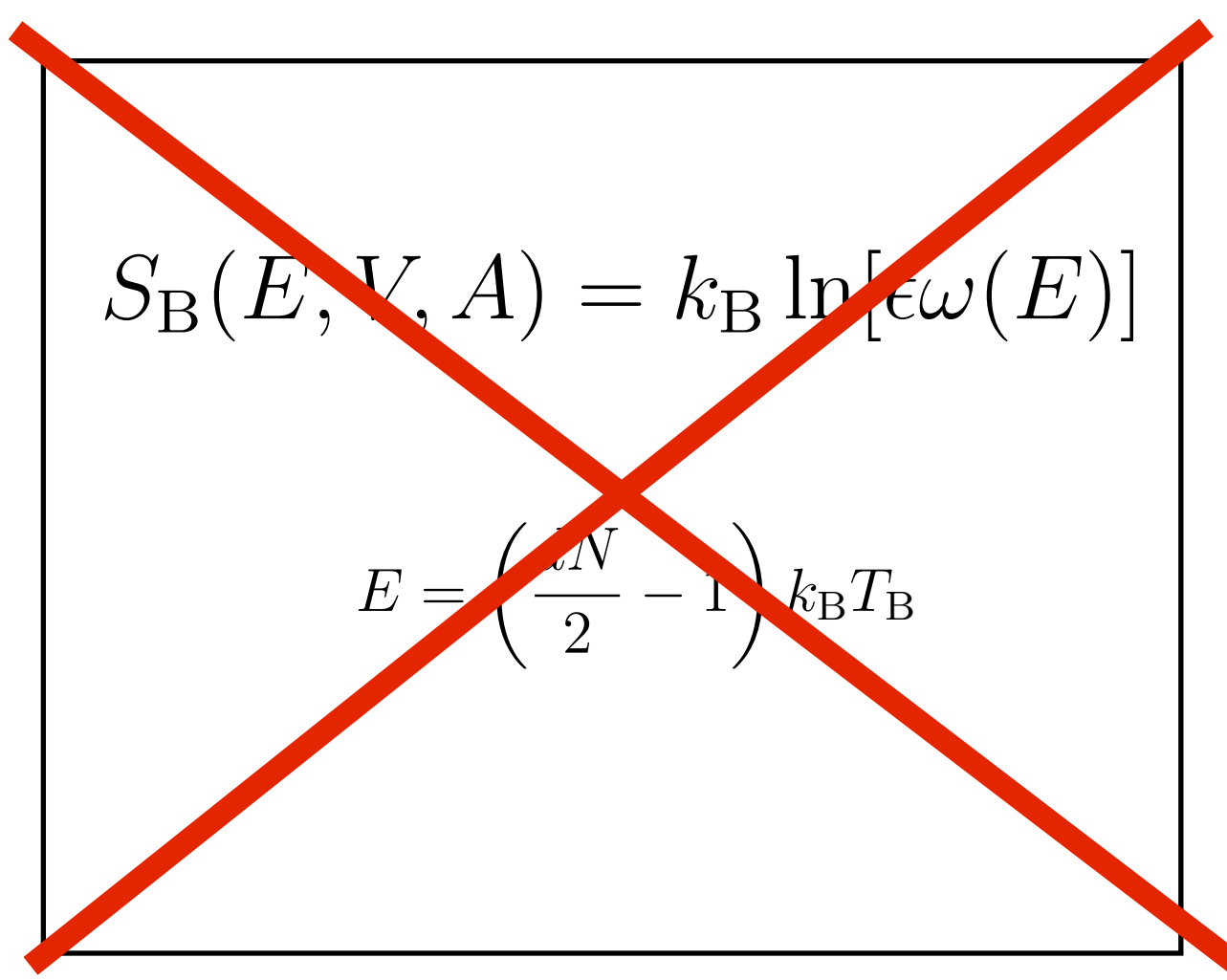
**vs.**

$$S_G(E, V, A) = k_B \ln[\Omega(E)]$$

$$E = \frac{dN}{2} k_B T_G$$

# Example I: Classical ideal gas

$$\Omega(E, V) = \alpha E^{dN/2} V^N, \quad \alpha = \frac{(2\pi m)^{dN/2}}{N! h^d \Gamma(dN/2 + 1)}$$


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**vs.**

$$S_G(E, V, A) = k_B \ln[\Omega(E)]$$

$$E = \frac{dN}{2} k_B T_G$$

# Example 3: 1-dim 1-particle quantum gas

$$E_n = an^2/L^2, \quad a = \hbar^2\pi^2/(2m), \quad n = 1, 2, \dots, \infty$$

$$\Omega = n = L\sqrt{E/a}$$

$$S_B(E, V, A) = k_B \ln[\epsilon\omega(E)]$$

$$k_B T_B = -2E < 0$$

$$p_B \equiv T_B \left( \frac{\partial S_B}{\partial L} \right) = -\frac{2E}{L} \neq p$$

**Dark energy ???**

**vs.**

$$S_G(E, V, A) = k_B \ln[\Omega(E)]$$

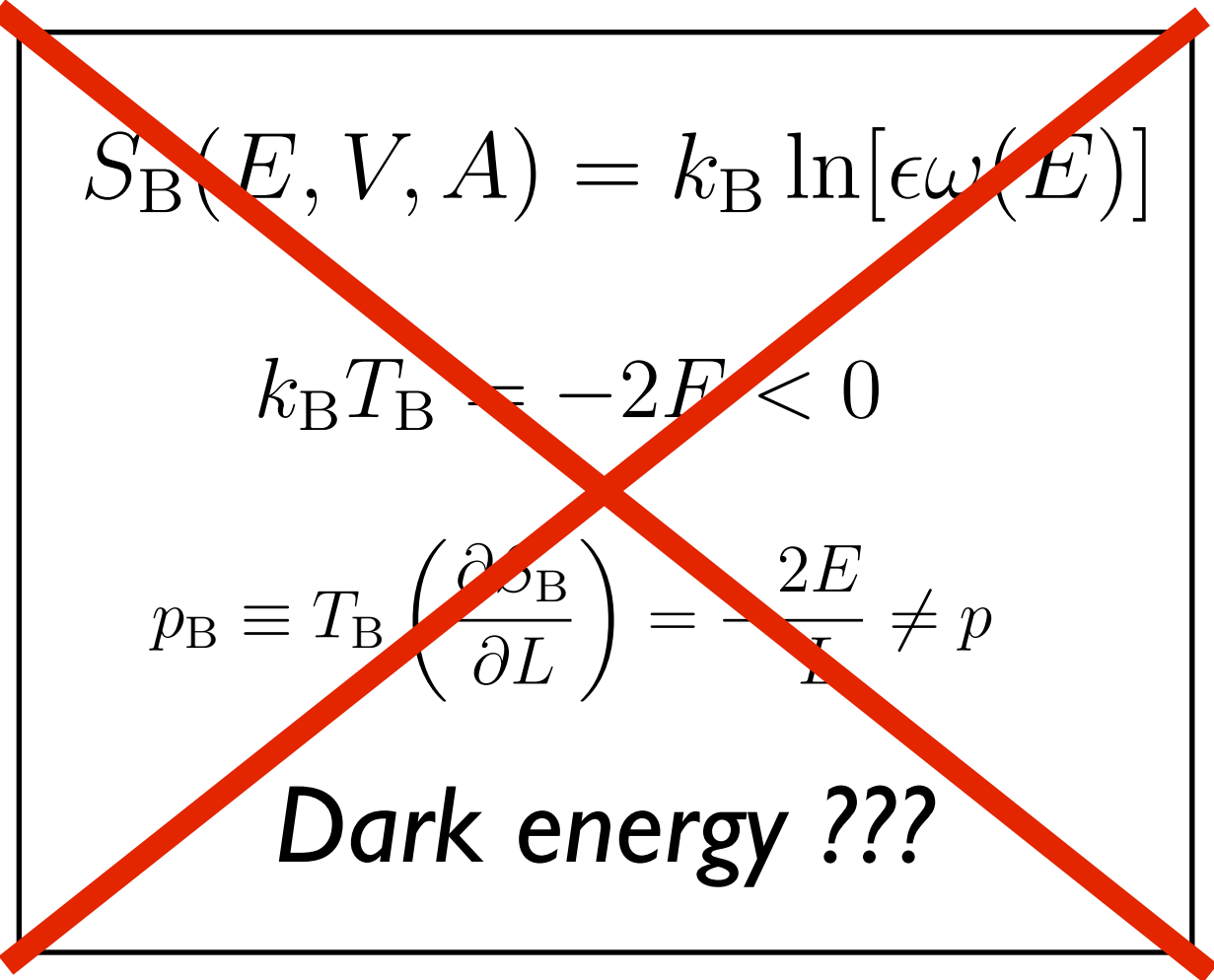
$$k_B T_G = 2E, \quad p_G \equiv T_G \left( \frac{\partial S_G}{\partial L} \right) = \frac{2E}{L}$$

$$p \equiv -\frac{\partial E}{\partial L} = \frac{2E}{L} = p_G$$

# Example 3: 1-dim 1-particle quantum gas

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**Dark energy ???**

**vs.**

$$S_G(E, V, A) = k_B \ln[\Omega(E)]$$

$$k_B T_G = 2E, \quad p_G \equiv T_G \left( \frac{\partial S_G}{\partial L} \right) = \frac{2E}{L}$$

$$p \equiv -\frac{\partial E}{\partial L} = \frac{2E}{L} = p_G$$

# Consistency requirements

**Consistent** thermostatistical model  $(\rho, S)$  must fulfill

$$dS = \left( \frac{\partial S}{\partial E} \right) dE + \left( \frac{\partial S}{\partial V} \right) dV + \sum_i \left( \frac{\partial S}{\partial A_i} \right) dA_i \equiv \frac{1}{T} dE + \frac{p}{T} dV + \sum_i \frac{a_i}{T} dA_i.$$

where

$$a_\mu \equiv T \left( \frac{\partial S}{\partial A_\mu} \right) \stackrel{!}{=} - \left\langle \frac{\partial H}{\partial A_\mu} \right\rangle \equiv -\text{Tr} \left[ \left( \frac{\partial H}{\partial A_\mu} \right) \rho \right]$$

Check

$$\begin{aligned} T_G \left( \frac{\partial S_G}{\partial A_\mu} \right) &= \frac{1}{\omega} \frac{\partial}{\partial A_\mu} \text{Tr} \left[ \Theta(E - H) \right] = -\frac{1}{\omega} \text{Tr} \left[ -\frac{\partial}{\partial A_\mu} \Theta(E - H) \right] \\ &= -\text{Tr} \left[ \left( \frac{\partial H}{\partial A_\mu} \right) \frac{\delta(E - H)}{\omega} \right] = - \left\langle \frac{\partial H}{\partial A_\mu} \right\rangle \end{aligned}$$

**⇒ Only  $(\rho, S_G)$  consistent model**

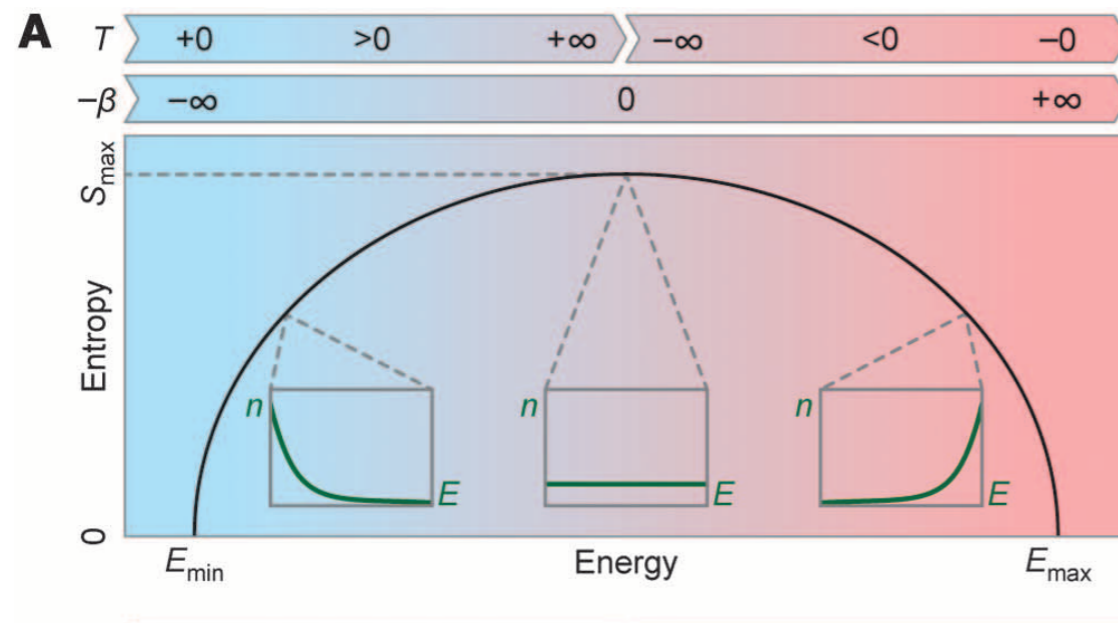
see also  
Campisi,  
Physica A 2007

# Experimental 'evidence' for 'negative absolute temperature' ?

SCIENCE VOL 339 4 JANUARY 2013

## Negative Absolute Temperature for Motional Degrees of Freedom

S. Braun,<sup>1,2</sup> J. P. Ronzheimer,<sup>1,2</sup> M. Schreiber,<sup>1,2</sup> S. S. Hodgman,<sup>1,2</sup> T. Rom,<sup>1,2</sup>  
I. Bloch,<sup>1,2</sup> U. Schneider<sup>1,2\*</sup>



$$S_B(E, V, A) = k_B \ln[\epsilon \omega(E)],$$

$$T_B(E, V, A) = \left( \frac{\partial S_B}{\partial E} \right)^{-1} = \frac{1}{k_B} \frac{\omega}{\omega'}$$

# Negative Absolute Temperature for Motional Degrees of Freedom

S. Braun,<sup>1,2</sup> J. P. Ronzheimer,<sup>1,2</sup> M. Schreiber,<sup>1,2</sup> S. S. Hodgman,<sup>1,2</sup> T. Rom,<sup>1,2</sup>  
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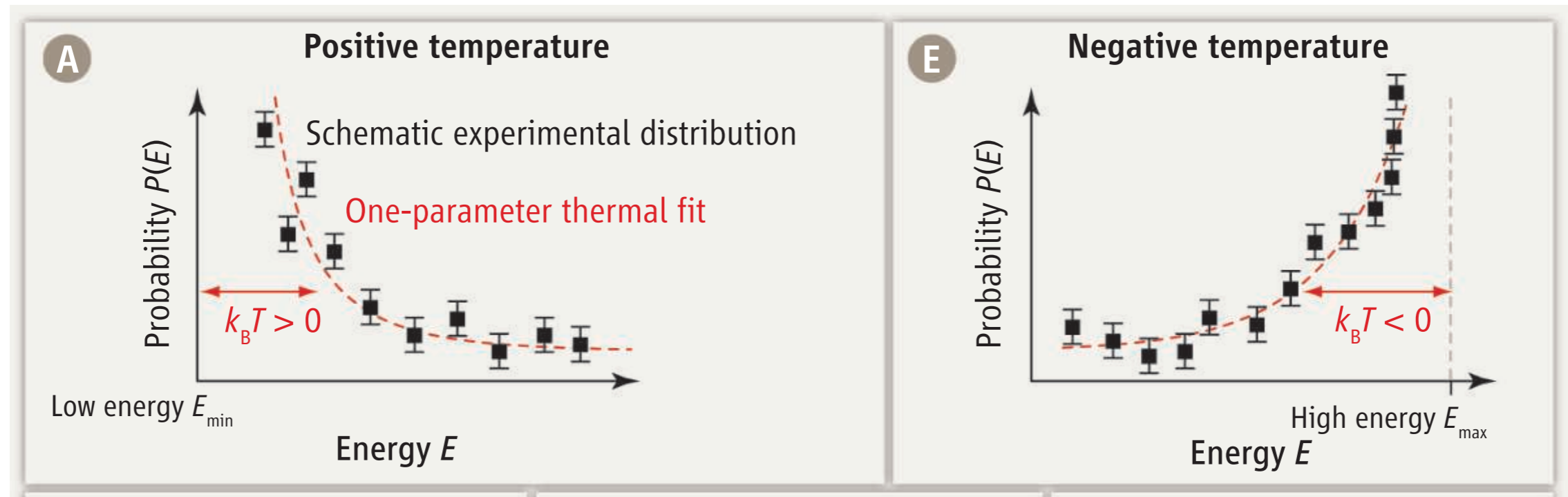
Because negative temperature systems can absorb entropy while releasing energy, they give rise to several counterintuitive effects, such as Carnot engines with an efficiency greater than unity (4). Through a stability analysis for thermodynamic equilibrium, we showed that negative temperature states of motional degrees of freedom necessarily possess negative pressure (9) and are thus of fundamental interest to the description of dark energy in cosmology, where negative pressure is required to account for the accelerating expansion of the universe (10).

- ✓ Carnot efficiencies  $> 1$
- ✓ Dark Energy



# Crucial question:

- is the exponential fit parameter the thermodynamic temperature ?
- if not, what happens to the other claims ?



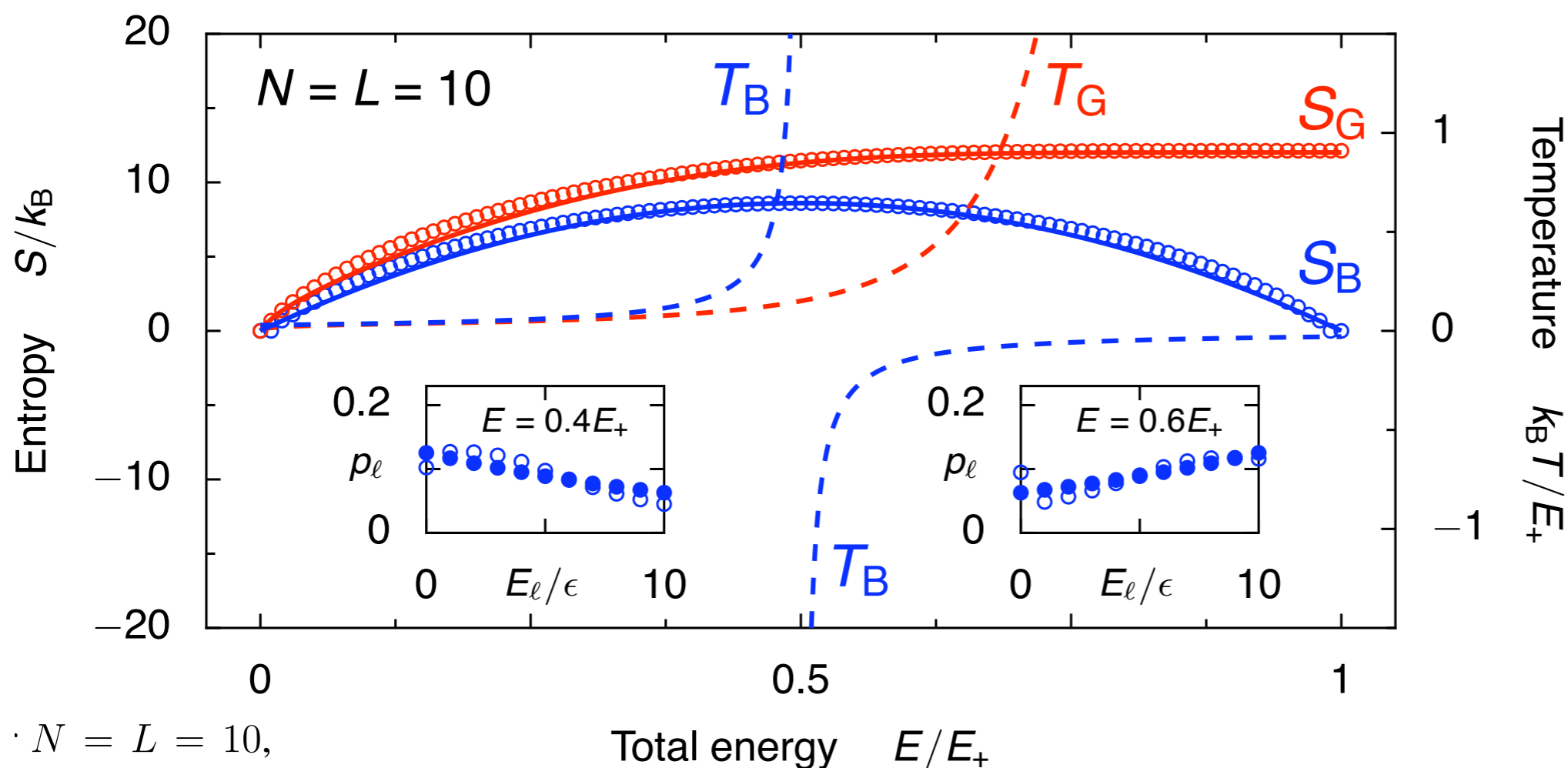
# Generic spin or oscillator model

$$H_N \simeq \sum_{n=1}^N h_n, \quad E_{\ell_n} = \epsilon \ell_n \quad \ell_n = 0, 1, \dots, L$$

$$E_\Lambda = \epsilon(\ell_1 + \dots + \ell_N) \quad 0 \leq E_\Lambda \leq E_+ = \epsilon LN$$

$$\omega(E) \simeq \omega_* \exp[-(E - E_*)^2 / \sigma^2]$$

$$\Omega(E) \simeq 1 + \frac{\omega_* \sqrt{\pi} \sigma}{2} \left[ \operatorname{erf}\left(\frac{E - E_*}{\sigma}\right) + \operatorname{erf}\left(\frac{E_*}{\sigma}\right) \right]$$



$$k_B T_B = \frac{\sigma^2}{E_+ - 2E}$$

but

$$T_G > 0$$

$N = L = 10$ ,  
184756 states

# Measuring $T_B$ vs. $T_G$

## One-particle distribution

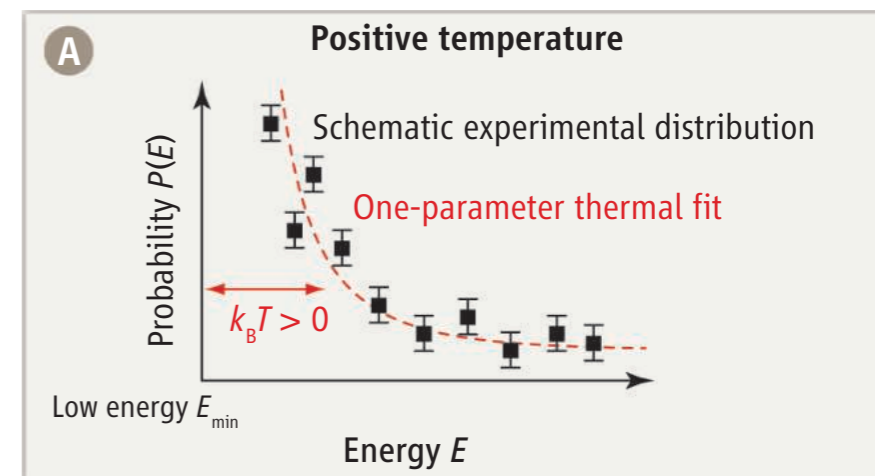
$$\rho_1 = \text{Tr}_{N-1}[\rho_N] = \frac{\text{Tr}_{N-1}[\delta(E - H_N)]}{\omega_N}$$

## Steepest-descent approximation

$$\rho_1 = \exp[\ln \rho_1] \Rightarrow p_\ell \simeq \frac{e^{-E_\ell/(k_B T_B)}}{Z}, \quad Z = \sum_\ell e^{-E_\ell/(k_B T_B)}.$$

see e.g. Huang's textbook

features  $T_B$  and **not**  $T_G$



$\Rightarrow$  one-particle thermal fit does **not** give absolute  $T = T_G$

Generally

$$T_B = \frac{T_G}{1 - k_B/C}$$

# Relativistic Regime

$$\Sigma(u = 0) \longrightarrow \Sigma'(u \neq 0)$$

$$x' = \gamma(u) (x - u \cdot t)$$

$$t' = \gamma(u) (t - ux/c^2)$$

$$\text{with } \gamma(u) = \left(1 - \frac{u^2}{c^2}\right)^{-1/2}$$

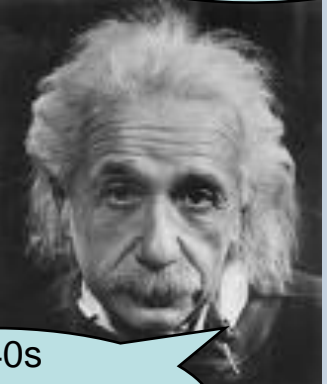
$$x^2 - c^2 t^2 = (x')^2 - c^2 (t')^2$$

Note: thermodynamic observables are **NONLOCAL**

# “Temperature” problem in RTD?

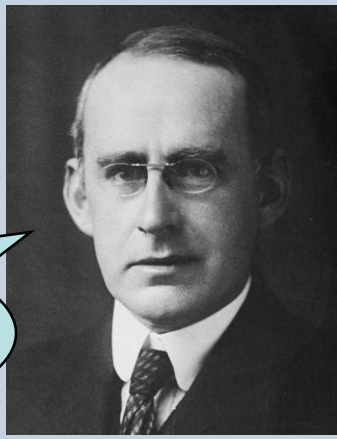


1907/08  
moving bodies  
appear cooler



1940s  
maybe ... not

1923/1963  
.. hotter!



$$T'(w) = T (1 - w^2)^{\alpha/2} \quad \alpha = \begin{cases} +1 & \text{Planck, Einstein} \\ 0 & \text{Landsberg, van Kampen} \\ -1 & \text{Ott} \end{cases}$$

$T = T'$  1966-69



CK Yuen, *Amer. J. Phys.* 38:246 (1970)

# Heat, second law & temperature

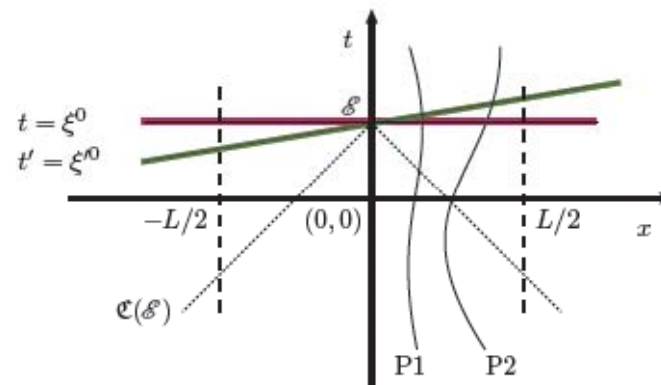
## Planck-Einstein

$$\bar{d}Q'[\mathcal{J}'] := \mathcal{T}' dS' := dU'^0[\mathcal{J}'] - w' dU'^1[\mathcal{J}'] + \mathcal{P}' dV'$$

$$\gamma = (1 - w'^2)^{-1/2}$$

$$\mathcal{T}' dS' := \bar{d}Q'^0[\mathcal{J}'] = \gamma^{-1} \bar{d}Q^0[\mathcal{J}] = \gamma^{-1} \mathcal{T} dS$$

*cooler*



## Ott

$$\bar{d}Q^\mu[\mathcal{J}] := dU^\mu[\mathcal{J}] - \bar{d}A^\mu[\mathcal{J}],$$

$$(\bar{d}A^\mu[\mathcal{J}]) := (-\mathcal{P}dV, \mathbf{0}).$$

$$\mathcal{T}' dS' := \bar{d}Q'^0 = \gamma \bar{d}Q^0 = \gamma \mathcal{T} dS,$$

*hotter*

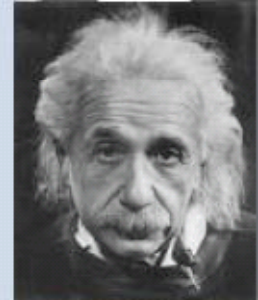
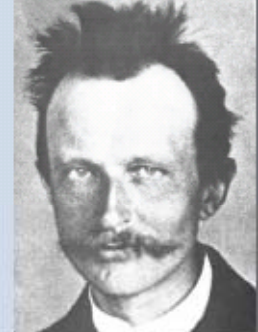
## van Kampen / Landsberg

$$\bar{d}Q^\mu[\mathcal{J}] := dU^\mu[\mathcal{J}] - \bar{d}A^\mu[\mathcal{J}],$$

$$\bar{d}Q' := -w'_\mu \bar{d}Q'^\mu = -w_\mu \bar{d}Q^\mu = \bar{d}Q = \bar{d}Q^0,$$

$$\mathcal{T}' dS' := \bar{d}Q' = \bar{d}Q = \mathcal{T} dS,$$

$T'=T$



# “Isochronous” RTD useful at all?

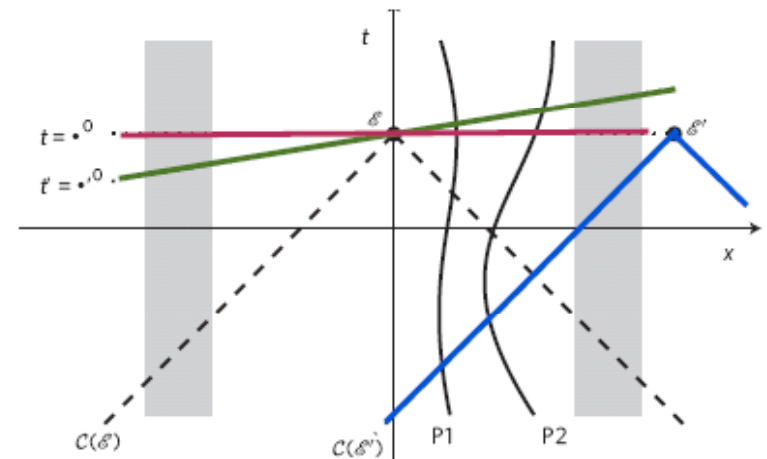
## shortcomings

- (i) not feasible experimentally
- (ii) extension to General Relativity problematic

**SOLUTION:** replace isochronous hyperplanes by lightcones

## Why?

- ✓ photographic measurements
- ✓ equivalent for moving observers
- ✓ GR extension
- ✓ nonrelativistic limit



# Thermodynamic variables

$$\partial_\mu j^\mu \equiv 0$$

$$\partial_\nu s^\nu \equiv 0$$

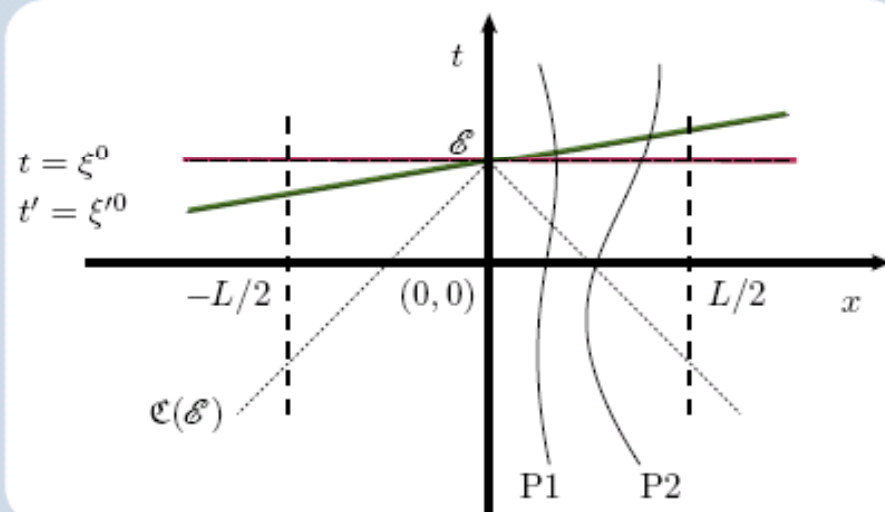
$$\partial_\mu \theta^{\mu i} \neq 0$$

non-local TD variables  $\Leftrightarrow$  surface integrals in space-time

$$\mathcal{N}[\mathfrak{H}] := \int_{\mathfrak{H}} d\sigma_\nu j^\nu = \mathcal{N}[\mathfrak{H}'] \quad \text{scalar}$$

$$\mathcal{S}[\mathfrak{H}] := \int_{\mathfrak{H}} d\sigma_\nu s^\nu = \mathcal{S}[\mathfrak{H}'] \quad \text{scalar}$$

$$\mathcal{U}^\nu[\mathfrak{H}] := \int_{\mathfrak{H}} d\sigma_\mu \theta^{\mu\nu} \neq \mathcal{U}^\nu[\mathfrak{H}'] \quad \text{4-vector}$$



$$\mathcal{N}[\mathfrak{H}] = \mathcal{N}'[\mathfrak{H}] = \mathcal{N}'[\mathfrak{H}'] = \mathcal{N}[\mathfrak{H}']$$

$$\mathcal{S}[\mathfrak{H}] = \mathcal{S}'[\mathfrak{H}] = \mathcal{S}'[\mathfrak{H}'] = \mathcal{S}[\mathfrak{H}']$$

$$\Lambda^\nu{}_\mu \mathcal{U}^\mu[\mathfrak{H}] = \mathcal{U}'^\nu[\mathfrak{H}] \neq \mathcal{U}'^\nu[\mathfrak{H}'] = \Lambda^\nu{}_\mu \mathcal{U}^\mu[\mathfrak{H}']$$



# “Photographic” thermodynamics

light-cone averaging

$$\mathcal{C}(\mathcal{E}) := \{ (t, \mathbf{x}) \mid t = \xi^0 - |\mathbf{x} - \boldsymbol{\xi}| \}$$

photographic state variables

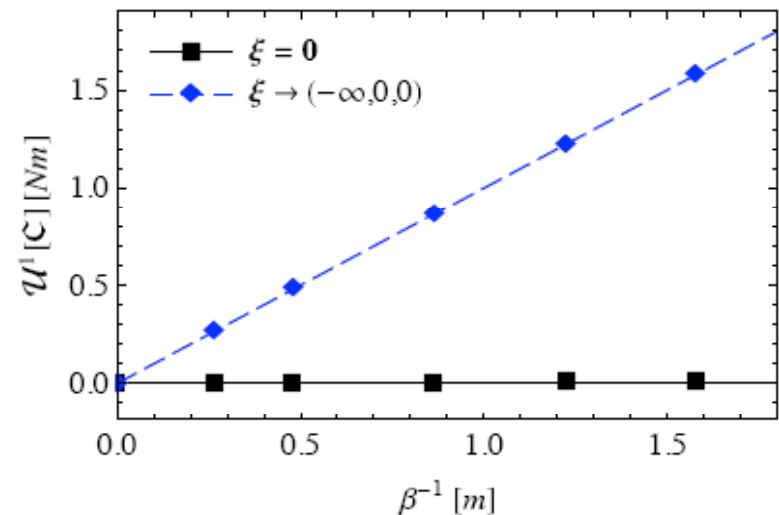
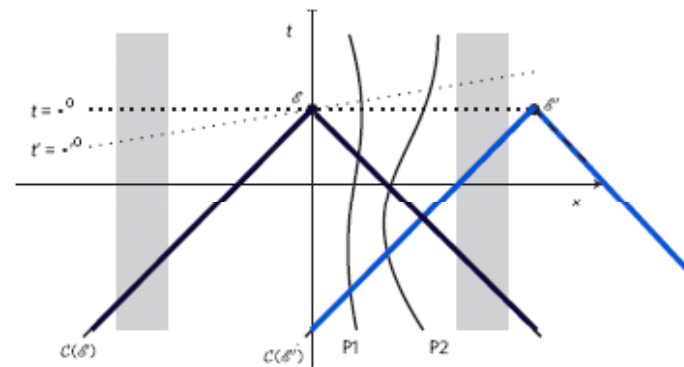
$$U^0[\mathcal{C}] = N \langle p^0 \rangle$$

$$U^i[\mathcal{C}] = \frac{N}{\beta} \int d^3x \frac{x^i - \xi^i}{|\mathbf{x} - \boldsymbol{\xi}|} \varrho(\mathbf{x})$$

distant observer (at rest !)

$$U^i[\mathcal{C}] = -\frac{\xi^i}{|\boldsymbol{\xi}|} N k_B \mathcal{T}$$

**apparent drift !**



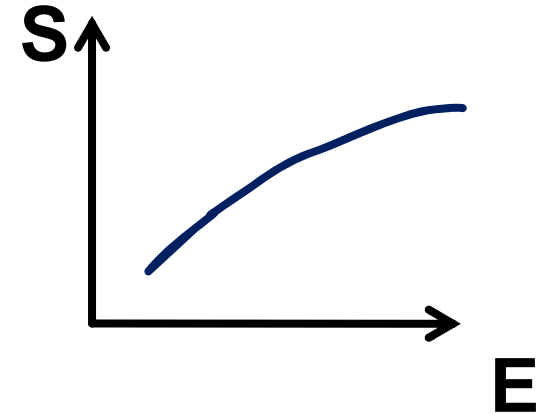
JD, Peter Hanggi & Stefan Hilbert, *Nature Physics* 5:741, 2009

# Take Home Messages

- $\exists$  absolute temperature  $T \geq 0$

- $T = \frac{\partial E}{\partial S} \dots$

with  $S(E)$  a **concave** function of  $E$  !



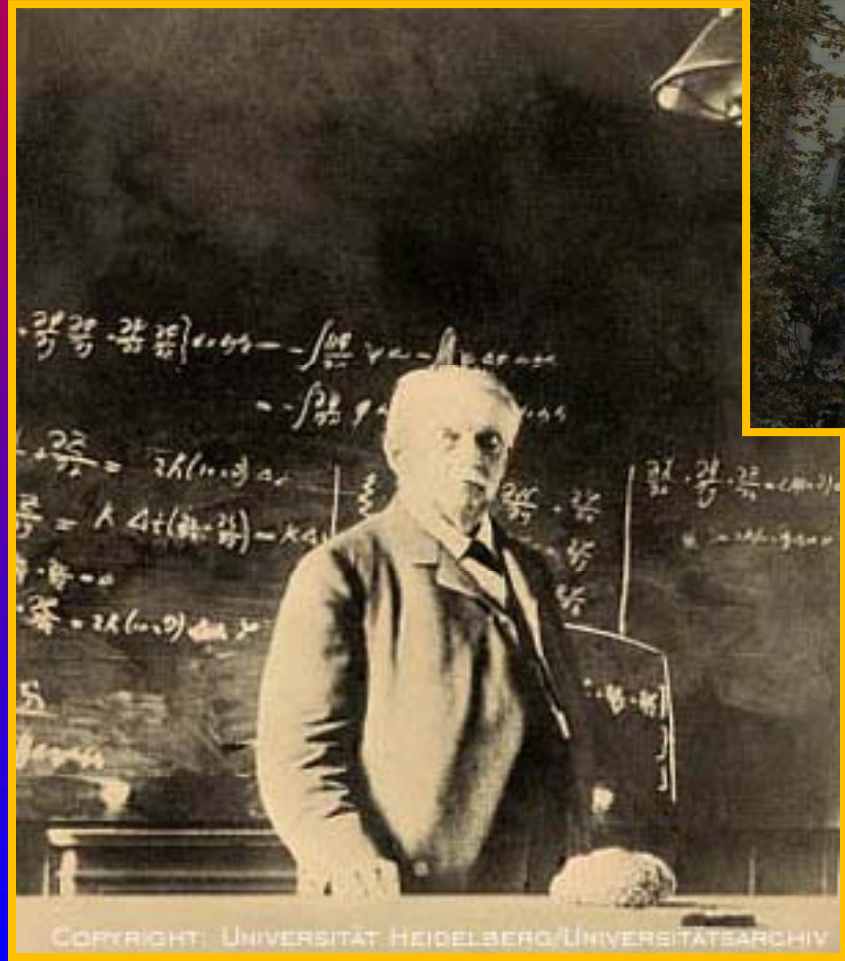
- $S(E)$  : microcanonical **NOT** always =  $S(E)$  : canonical ( long range, non-ergodic parts )
- $T$  does **NOT** fluctuate
- quantum mechanics: no virial theorem; no equipartition
- relativistic statistical thermometer

$$\mathcal{T}_\Sigma = \mathcal{T}_{\Sigma'}$$

# Conclusions

- population inversion  $\Rightarrow$  microcanonical
- bounded spectrum  $\Rightarrow$  ensembles not equivalent
- consistent thermostats  $\Rightarrow$  Gibbs entropy
- temperature always positive ('by construction')
- **no** Carnot efficiencies  $> 1$
- please correct textbooks & lecture notes

arxiv: 1304.2066



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