

Magnetic Flux Periodicity of h/e in Superconducting Loops

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Superconducting loops exhibit macroscopic quantum phenomena that have far-reaching implications; magnetic flux periodicity and flux quantization are the key to our understanding of fundamental properties of superconductors and are the basis for many applications. In superconducting rings, the electrical current responds to a magnetic flux by having a periodicity of $h/2e$, where the ratio of Planck's constant and the elementary charge defines the magnetic flux quantum h/e . The well-known $h/2e$ periodicity is a hallmark for electronic pairing in superconductors and is considered evidence for the existence of Cooper pairs. Here, we show that in contrast to this long-held belief, rings of many superconductors bear an h/e periodicity. These superconductors include the high-temperature superconductors, Sr_2RuO_4 , the heavy-fermion superconductors, as well as all other unconventional superconductors with nodes (zeros) in the energy gap, and conventional s-wave superconductors with small gaps. As we show, the 50-year-old Bardeen-Cooper-Schrieffer theory of superconductivity implies that for loops of such superconductors the ground-state energies and consequently also the supercurrents are generically h/e periodic.

Currents of electrons moving on multiply connected paths are modulated by an applied magnetic flux with a period of h/e ⁽¹⁾, as predicted by Aharonov and Bohm². In superconducting rings the order parameter responds also periodically to a magnetic flux, as Fritz London recognized when he analyzed the implications of a single-valued superconducting wave function³; different condensate states, which differ by integer flux quanta, are related by a gauge transformation. London concluded that the flux periodicity in superconducting rings is h/e ⁽³⁾. He missed, however, a class of supercurrent carrying wave functions, which were identified years later⁴⁻⁶, and allowed to explain the experimentally observed $h/2e$ flux quantization^{7,8}. Indeed, according to the Bardeen-Cooper-Schrieffer (BCS) theory of superconductivity⁹ the electronic condensate is formed by Cooper pairs, which carry twice the elementary charge. However, fundamentally it is not just the pairing motivated substitution of e by $2e$, from which the periodicity in $h/2e$ originates, but rather the subtle requirement of the degeneracy in energy⁴⁻⁶ of the two distinct classes of supercurrent carrying states.

The original flux trapping experiments^{7,8}, which proved the $h/2e$ flux quantization in superconductors, as well as the later experiments¹⁰⁻¹² were considered a manifestation of the

formation of Cooper pairs in the then known conventional superconductors. The discovery that magnetic flux changes the magnetization of $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$ rings with a periodicity of $h/2e$ was similarly argued to provide the evidence for Cooper pairs also in high-temperature superconductors¹³.

Does, vice versa, the existence of Cooper pairs or the $h/2e$ flux quantization necessarily imply an $h/2e$ periodicity of the energy or the current in superconducting loops? In fact, the $h/2e$ periodicity requires that multiply connected superconductors threaded by a flux $n h/2e$ are degenerate in energy for different integers n . In superconducting s -wave rings or hollow cylinders with inner diameter d this degeneracy occurs if $d \gg \xi$, where ξ is the coherence length⁴⁻⁶. In the opposite regime $d \lesssim \xi$ the discrete quantum nature of the electronic states in the ring matters and the energies at half-integer and integer flux quanta are generally different; correspondingly the superconducting behavior is only h/e -periodic (see Fig. 8-8 in Ref. 14). This behavior should be observable, possibly in Al rings with $d < \xi = 1.6 \mu\text{m}$.

The oscillation period of energy and currents in superconducting rings is therefore not always $h/2e$. In fact, as we report here, the BCS-theory strictly predicts that for rings of superconductors with nodes in their gap functions, such as the high- T_c cuprates, Sr_2RuO_4 , or the heavy-fermion superconductors, the ground-state energy is generically h/e periodic. For all these superconductors, the states that yield the BCS-condensate state also include current-carrying states with energies close to the Fermi energy E_F . As a result of the magnetic-field driven change of occupation of these states and the concomitant reconstruction of the condensate, the superconducting rings develop an h/e periodicity of the supercurrent.

The flux periodicity in mesoscopic loops of d -wave superconductors is contained in the solution of the Bogoliubov–de Gennes (BdG) equations¹⁵ for the pairing Hamiltonian:

$$\mathcal{H} = -t \sum_{\langle ij \rangle, \sigma} e^{i\varphi_{ij}} c_{i\sigma}^\dagger c_{j\sigma} + \sum_{\langle ij \rangle} \left[\Delta_{ij}^* c_{j\downarrow} c_{i\uparrow} + \Delta_{ij} c_{i\uparrow}^\dagger c_{j\downarrow}^\dagger \right].$$

The operators $c_{j\sigma}$ ($c_{j\sigma}^\dagger$) annihilate (create) an electron on lattice site j with spin $\sigma = \uparrow, \downarrow$; t is the hopping matrix element between nearest neighbor sites, $\varphi_{ij} = 2\pi e/h \int_i^j \mathbf{A}(\mathbf{r}) \cdot d\mathbf{r}$ is the Peierls phase factor, and \mathbf{A} is the vector potential of the magnetic field. The order parameter of the superconducting state Δ_{ij} is defined on the links between neighboring sites with phase factors appropriate for d -wave symmetry.

Figure 1 displays the probability density of the wave function for a state with energy close

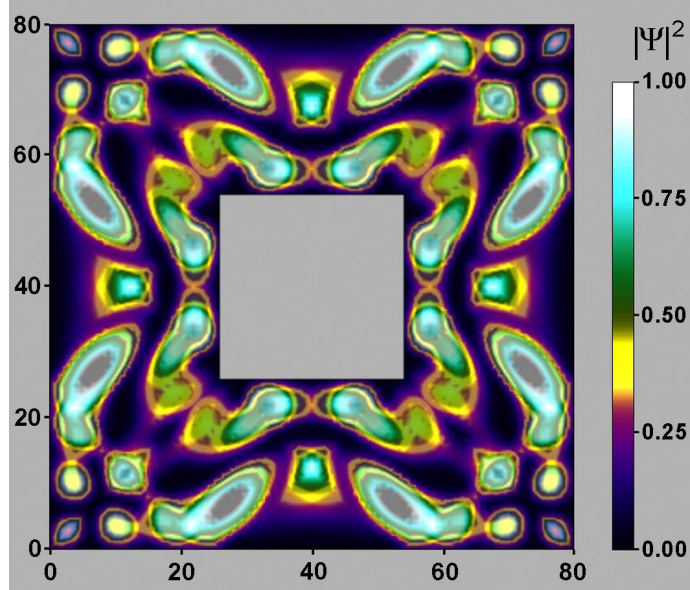


Figure 1 | Real-space representation of a square loop with a typical electronic probability density $|\Psi|^2$ of a single state in the condensate. The figure displays an eigenstate of the d -wave pairing Hamiltonian, calculated for a square-loop with 80×80 lattice sites with a pairing interaction of $0.3t$. The hole in the center has a size of 28×28 unit cells. To enhance the contrast of the complex pattern, the special color code shown on the right is used and the discrete lattice points are smoothly interpolated.

to E_F on a square loop, whose edges are oriented parallel to the $[100]$ and $[010]$ -directions, respectively. The d -wave loop eigenstates are obviously far more complex than the angular momentum eigenstates of a one-dimensional circular ring (cf. Ref. 14), and the current flow in this loop can only be evaluated numerically. Nevertheless, also a qualitative discussion allows insight into the underlying physics.

To assess the global quantities, viz. energy and current, the evolution of the eigenenergies with magnetic flux has to be calculated. The eigenstates with energies below E_F form the ground-state condensate (Fig. 2). Only flux values Φ between 0 and $h/2e$ are discussed, because all quantities are either symmetric or antisymmetric with respect to flux reversal $\Phi \rightarrow -\Phi$. Two clearly distinct regimes are found: the flux intervals between 0 and $h/4e$ and from $h/4e$ to $h/2e$.

Up to $\Phi \simeq h/4e$ the supercurrent J generates a magnetic field which tends to reduce the applied field. This is achieved by a continuous shift of the eigenenergies in the condensate. At $\Phi = 0$, pairs of states with opposite circulation compensate their respective currents, thus $J = 0$. The well separated states at $\Phi = 0$ in Fig. 2 are the states in the vicinity of the

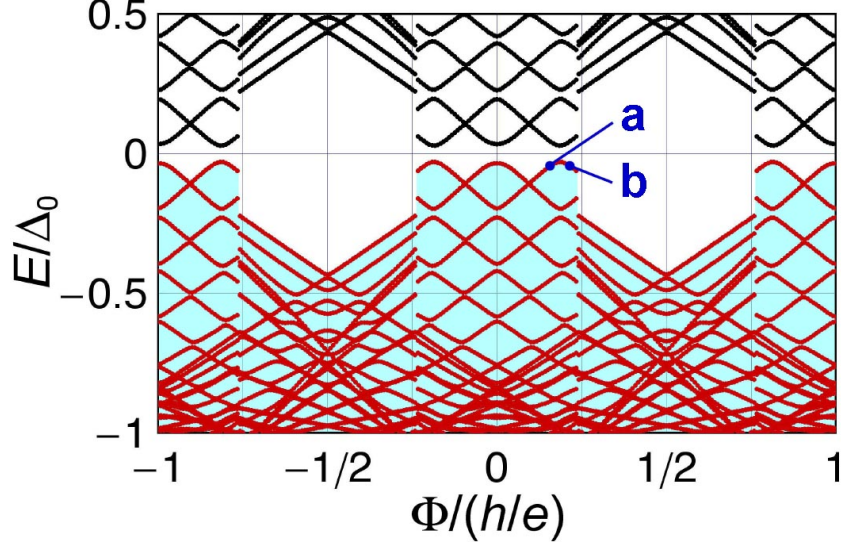


Figure 2 | Energy spectrum of the d -wave BCS model. The eigenenergies in the gap region are shown for a square 40×40 loop with a hole of 14×14 unit cells and pair interaction $0.3t$ as a function of flux Φ (in units of h/e). The energies are given in units of the superconducting order parameter Δ_0 at $\Phi = 0$ ($\Delta_0 \approx 0.22t$). The superconducting condensate consists of the states below $E_F = 0$ (red lines). Reconstruction of the condensate takes place near $\Phi = \pm(2n + 1)h/4e$, where the eigenenergies jump abruptly. The blue labels ‘a’ and ‘b’ refer to the current patterns shown in Fig. 4.

nodes of the mesoscopic d -wave superconductor. At energies further away from E_F , the state density is higher; these are the states near the maximum energy gap that provide most of the condensation energy. For $\Phi > 0$, the energy of the states with orbital magnetic moment anti-parallel (parallel) to the magnetic field is increased (decreased). Correspondingly the supercurrent, which is carried by these states, depends on the details of level crossings and avoidings. The main contribution to the supercurrent arises from the occupied levels closest to E_F , because the contributions from the lower-lying states tend to cancel in adjacent pairs.

As the highest occupied state shifts with increasing flux to lower energies, the current in the square loop first increases for small Φ (Fig. 3), then decreases, when the highest occupied level with an orbital moment opposite to the applied magnetic field starts to dominate. With increasing flux this state approaches E_F . For s -wave rings this “Doppler shift energy” (cf. Ref. 15) corresponds to the critical value of the superfluid velocity, for which the indirect energy gap closes. For d -wave loops, the order parameter is protected by the numerous states that form the “lobes” of the d -wave gap parameter.

For d -wave loops and rings with other unconventional order parameter symmetries, the states in the vicinity of the nodes evolve with increasing flux as in small gap s -wave rings.

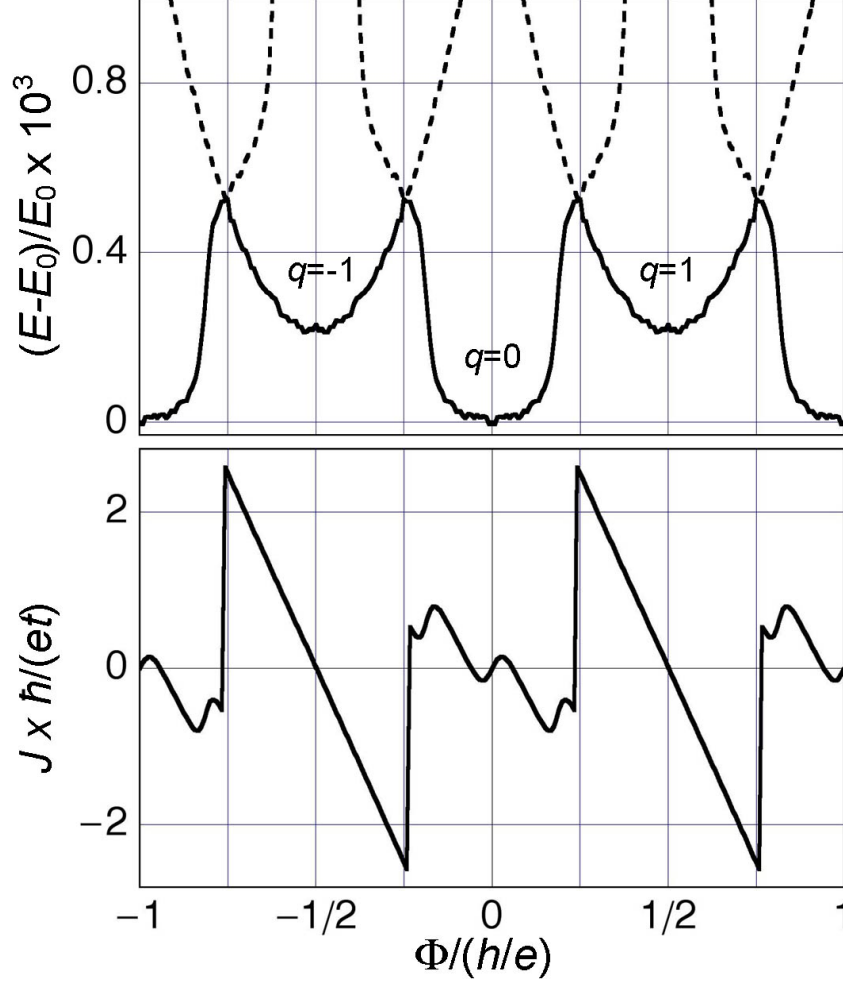


Figure 3 | Flux dependence of energy and current. Total energy $(E(\Phi) - E(0))/E(0)$ (a) and total circulating current J (b) for a square 40×40 loop with a hole of 14×14 unit cells and pair interaction $0.3t$ as a function of flux Φ in units of h/e . J is given in units of $et/\hbar = 6 \times 10^{-5}A$ for a typical choice of $t = 250$ meV. There is a clear difference between condensate states with an even and an odd winding number q of the order parameter, reflected e.g. in the deformation of the $q = 0$ -parabola. The overall Φ -periodicity for E and J is h/e .

They do not necessarily cross E_F (Fig. 2) due to the hybridization of the respective states above and below E_F . Nevertheless, a state with one direction of current is replaced by a state of opposite direction (Fig. 4). The current carrying states of the condensate are thereby continuously changing near the extrapolated crossing points. As a consequence the energy “parabola” centered at zero flux is different from the ground-state energy parabola centered at $\Phi = h/2e$ (Fig. 3). The deviation from a parabolic shape near zero flux is due to the evolution of the near-nodal states; the vertical offset of the energy minima at $\Phi = nh/e$ results mostly from the flux dependence of the states near the maximum value of

the anisotropic gap.

For a flux value near $h/4e$ the condensate reconstructs. The superconducting state beyond $h/4e$ belongs to the class of wavefunctions introduced by Byers and Yang⁴ in which, for a circular geometry, each pair acquires a center of mass angular momentum \hbar^{14} . Remarkably, in the flux interval from near $h/4e$ to $h/2e$, a full energy gap exists also for d -wave superconductors (Fig. 2). Here the circulating current enhances the magnetic field; the paramagnetic moment of the current is parallel to the field. The resulting energy gain is responsible for the field-induced energy gap. This reconstruction of the condensate is the origin of the h/e periodicity in energy and current. Intriguingly, for superconductors with unconventional order parameter symmetries also larger loops ($d \gg \xi$) are h/e periodic.

The numerical solution of the BdG equations with a self-consistency condition for the order parameter is adequate for $\simeq 15$ nm rings. However, to examine systems of micrometer size, the nodal states have to be described using a continuous gapless density of states. The flux induces a Doppler shift which modifies the states and alters their occupation near E_F , thereby causing an h/e component of the current J . While the $h/2e$ component of $J \propto 1/d$, the h/e component decreases with $1/d^2$ (see Appendix B). In quantitative agreement the h/e component which, as compared to a ring of the size shown in Fig. 1, reduces by a factor of 60 for a corresponding ring of 1 μm size, measured by the weight of its Fourier peak. Using typical parameter values for a YBCO ring of 1 μm size, the ratio of the h/e versus the $h/2e$ component remains in the percent range. The frame width w of the ring has little influence on the weight of the h/e component for the loops with w smaller than the penetration depth λ . A similar behavior is also shown by loops with $w > \lambda$, because only states that result in the current-transport channels within λ affect significantly the h/e component.

Our calculations show that while changes in geometry, the number of transverse channels and elastic scattering by impurities modify the $J(\Phi)$ characteristics in detail, they do not eliminate the h/e component. As long as the single particle states are well defined, also electronic correlation effects, which are responsible for the renormalization of states and of coupling parameters, are not expected to bear a strong influence on the discussed phenomena.

The robust, magnetic-flux induced presence of currents that flow opposite to the main screening currents affect many properties of unconventional superconductor. Of particular importance are a resulting enhancement of the London penetration depth and a weakening

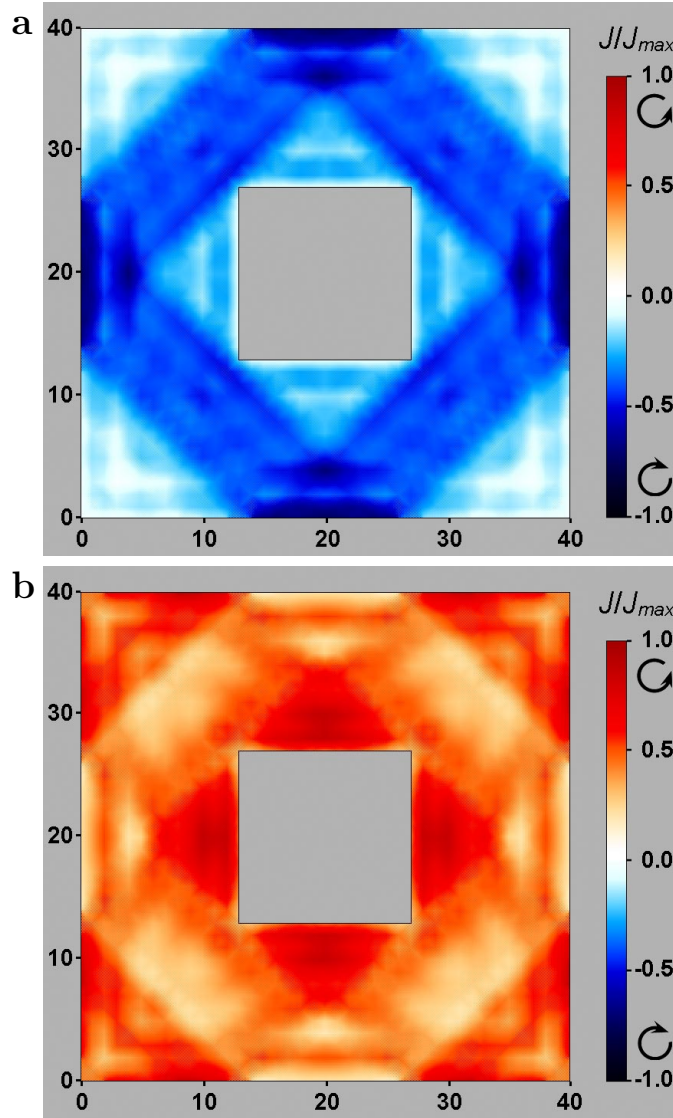


Figure 4 | Current distribution in a square loop of 40×40 lattice sites. The current expectation value of the occupied state closest to $E_F = 0$ is shown for flux $\Phi = 0.17 h/e$ (top panel, the state is marked with ‘a’ in Fig. 2) and for $\Phi = 0.21 h/e$ (bottom panel, marked with ‘b’ in Fig. 2). The color encodes the projection of the current onto a square path around the loop whereby red presents a counterclockwise and blue a clockwise circulation. The maximal current is $J_{\max} = 0.15 et/\hbar$ for **a** and $0.13 et/\hbar$ for **b**. The current distribution of each of the two states has strong spatial variations and does not fulfill the continuity condition which, however, is restored for the total current.

of the rf-shielding. Further, at any temperature, including $T = 0$, the condensation energies, the screening current densities, the kinetic inductances and the penetration depths of rings of nodal superconductors are h/e periodic, the relative intensity of the h/e -Fourier components decreasing with $1/d$. The same properties are predicted for loops of s -wave superconductors with small gap such as rings with diameters smaller than ξ . These predictions are strict,

free of fitting parameters and therefore open to stringent experimental tests. The h/e periodicity of the supercurrent is a fundamental property of loops formed by unconventional superconductors.

APPENDIX A: NUMERICAL METHOD

To investigate ring geometries with finite width, we self-consistently solve the Bogoliubov - de Gennes (BdG) equations on the square frame shown in Fig. 5 for the Hamiltonian

$$\mathcal{H} = -t \sum_{\langle ij \rangle_s} e^{i\varphi_{ij}} c_{is}^\dagger c_{js} + \sum_{\langle ij \rangle} \left[\Delta_{ij}^* c_{j\downarrow} c_{i\uparrow} + \Delta_{ij} c_{i\uparrow}^\dagger c_{j\downarrow}^\dagger \right], \quad (\text{A1})$$

where

$$\Delta_{ij} = \frac{V}{2} (\langle c_{j\downarrow} c_{i\uparrow} \rangle - \langle c_{j\uparrow} c_{i\downarrow} \rangle) \quad (\text{A2})$$

is the order parameter defined on the two neighboring lattice sites i and j . The pairing interaction strength is V and appropriate phases for d -wave pairing: $\Delta_{i,i+\hat{x}} = -\Delta_{i,i+\hat{y}}$ are implicitly incorporated. A magnetic flux is represented by the Peierls phase factor $\varphi_{ij} = \frac{2\pi e}{h} \int_i^j \mathbf{A}(\mathbf{r}) \cdot d\mathbf{r}$. We choose a vector potential of the form $\mathbf{A} = \phi(y, -x)/(2\pi r^2)$, yielding a flux threading the hole with no magnetic field penetrating the superconductor, where $\phi = \Phi e/h$ measures the flux in units of h/e . The Hamilton operator (A1) is diagonalized

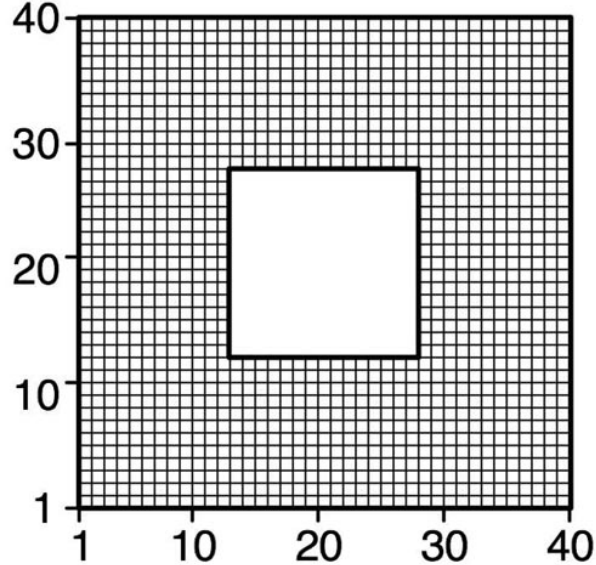


Figure 5 | A two dimensional discrete lattice for a square frame with open boundary conditions. The figure shows the standard geometry for a system size of 40×40 lattice sites with a centered hole of 14×14 lattice sites, on which calculations were typically performed.

by the Bogoliubov transformation

$$c_{i\uparrow} = \sum_n \left[u_{ni} \gamma_{n\uparrow} - v_{ni}^* \gamma_{n\downarrow}^\dagger \right], \quad (\text{A3})$$

$$c_{i\downarrow} = \sum_n \left[u_{ni} \gamma_{n\downarrow} + v_{ni}^* \gamma_{n\uparrow}^\dagger \right]. \quad (\text{A4})$$

where γ_{ns}^\dagger and γ_{ns} are creation and annihilation operators for fermionic Bogoliubov quasi-particles. The coefficients u_n and v_n have to fulfill the equation

$$\begin{pmatrix} H_0 & \Delta \\ \Delta^\dagger & -H_0^\dagger \end{pmatrix} \begin{pmatrix} u_n \\ v_n \end{pmatrix} = E_n \begin{pmatrix} u_n \\ v_n \end{pmatrix}. \quad (\text{A5})$$

where the operators H_0 and Δ act on the ‘‘single particle’’ wave functions u_n and v_n as

$$H_0 u_{ni} = -t \sum_j e^{i\varphi_{ij}} u_{nj} - \mu u_{ni}, \quad (\text{A6})$$

$$\Delta v_{ni} = \sum_j \Delta_{ij} v_{nj}, \quad (\text{A7})$$

and \sum_j denotes the sum over all nearest neighbor sites of i . The order parameter Δ_{ij} is calculated self-consistently from

$$\Delta_{ij} = \frac{V}{2} \sum_n [u_{ni} v_{nj}^* + u_{nj} v_{ni}^*] \tanh \left(\frac{E_n}{2k_B T} \right), \quad (\text{A8})$$

where the sum runs over the positive eigenvalues E_n only and T is the temperature. The current density J_{ij} from lattice site i to j is

$$J_{ij} = -i \frac{et}{\hbar} \sum_s \left(c_{is}^\dagger c_{js} e^{i\varphi_{ij}} - c_{sj}^\dagger c_{is} e^{i\varphi_{ji}} \right) \quad (\text{A9})$$

$$= -4 \frac{et}{\hbar} \sum_n \text{Im} \left[(u_{nj} u_{ni}^* f(E_n) + v_{nj}^* v_{ni} (1 - f(E_n))) e^{i\varphi_{ij}} \right]; \quad (\text{A10})$$

$f(E) = 1/(1 + e^{E/k_B T})$ is the Fermi distribution function. The self-consistent solutions of the BdG equations on the square loop are characterized by the winding number q of the phase of the order parameter Δ_{ij} around the loop. For a fixed value of flux ϕ , ground-state solutions in different q -sectors are found by choosing suitable starting values for the iterations in the self consistency loop.

APPENDIX B: MULTI-CHANNEL MODEL FOR LARGE D -WAVE RINGS

Since the numerical method outlined above is not suited for calculations on loops of larger size say in the μm range, we use a multi-channel ring model which allows for an analytic calculation. A superconducting ring is thereby composed from many one dimensional ($1D$) loops with different radii. Each loop represents one current channel; the properties of the ring

are obtained by integrating over its thickness. In $1D$, the only spin-singlet pairing symmetry possible is s -wave pairing. To obtain the current characteristics of a d -wave loop, we use the following scheme: We obtain the supercurrent in the loop through an energy integration over the current contribution of all occupied eigenstates of the BCS Hamiltonian. In a circular loop (with no hybridization), the Doppler shift of the eigenenergies is a linear function of the flux independent of the pairing symmetry. The only way in which the symmetry influences the supercurrent is through its characteristic density of states (DOS). We therefore perform the following calculations for a $1D$ s -wave loop and obtain the d -wave supercurrent for a quasi $1D$ channel by inserting the (Doppler shifted) d -wave DOS (Fig. 6) into the final energy integration for the supercurrent (Eq. (B15)).

1. Superconducting State in a $1D$ s -wave loop

We describe the kinetic energy of the electrons on an individual flux threaded ring with N discrete lattice sites

$$\mathcal{H}_0 = \sum_{ks} \epsilon_{k-\phi} c_{ks}^\dagger c_{ks}, \quad (\text{B1})$$

by the tight binding dispersion

$$\epsilon_{k-\phi} = -2t \cos\left(\frac{k-\phi}{R}\right). \quad (\text{B2})$$

$\epsilon_{k-\phi}$ is the energy of a single particle state with angular momentum $\hbar k$ with $k \in \mathbb{Z}$. The radius of the ring measured in units of the lattice constant a is $R = N/2\pi$. The BCS pairing Hamiltonian has the form

$$\mathcal{H} = \mathcal{H}_0 + \sum_{k,q} \left[\Delta_k^*(q) c_{-k+q\downarrow} c_{k\uparrow} + \Delta_k(q) c_{k\uparrow}^\dagger c_{-k+q\downarrow}^\dagger \right], \quad (\text{B3})$$

where

$$\Delta_k(q) = \sum_{k'} \frac{V_{kk'}}{2} [\langle c_{k'\downarrow} c_{-k'+q\uparrow} \rangle - \langle c_{k'\uparrow} c_{-k'+q\downarrow} \rangle] \quad (\text{B4})$$

is the superconducting order parameter and $q \in \mathbb{Z}$ its winding number. For a perfectly circular ring geometry, the winding number can be identified with the angular momentum $\hbar q$ of a Cooper pair. Choosing the pairing energy $V_{kk'} = V$ independent of k and k' leads to pairing in the s -wave channel, which is the only possibility in one space dimension. Since we are interested in low temperature properties, we assume that the superconducting

condensate in its ground state is characterized by the quantum number $q(\phi)$, which changes its value as a function of flux ϕ whenever the total energies for two different q -values become degenerate. Up to finite size effects, the q -number of the ground state changes to the next integer whenever ϕ crosses the flux values $(2n - 1)/4$, $n \in \mathbb{Z}$:

$$q(\phi) = \text{int} \left(2\phi + \frac{\text{sign}(\phi)}{2} \right), \quad (\text{B5})$$

where for positive (negative) x , $\text{int}(x)$ is the largest (smallest) integer number equal or smaller (larger) than x . We therefore choose an ansatz for $\Delta_k(q)$ of the form

$$\Delta_k(q') = \delta_{q(\phi), q'} \Delta_k, \quad (\text{B6})$$

with arbitrary k -dependence of Δ_k . For s -wave pairing $\Delta_k = \Delta$ is constant and $\Delta_k(q) = \Delta(q)$. With this ansatz the diagonalization of the Hamiltonian (B3) leads to the energy spectrum

$$E_{\pm}(k, \phi) = \frac{\epsilon_{k-\phi} - \epsilon_{-k-\phi+q}}{2} \pm \sqrt{\Delta^2 + \left(\frac{\epsilon_{k-\phi} + \epsilon_{-k-\phi+q}}{2} \right)^2}. \quad (\text{B7})$$

The energies $E_{\pm}(k, \phi)$ shift with flux and for $\phi \neq n$ the particle-hole symmetry of the spectrum is broken. Near the Fermi energy, in different q -sectors, the Doppler shift $e(\phi)$ of the eigenenergies is found by expanding $E_{\pm}(k, \phi)$ in ϕ , leading to $e(\phi) = \pm(\phi - q/2) 2t/R + \mathcal{O}((\phi/R)^2)$. If therefore $\Delta(q) \leq e(\phi = 1/4) = t/2R$, where the condensate changes q from 0 to 1, the indirect energy gap closes and the occupation of states changes. For $\phi > 1/4$, the pairing of electrons in states with total angular momentum $q \neq 0$ according to Eq. (B4) becomes favorable. In one dimension, the gap closes exactly at the depairing velocity of the condensate beyond which no self-consistent solution of the order parameter exists¹⁶.

2. Current in a d -wave loop

In this section, we first derive an expression in form of an energy integration for the supercurrent in a s -wave loop which is then transformed into a d -wave loop as described above.

In the nearest-neighbor tight binding model for a $1D$ ring, the current is given by

$$J(R) = \frac{e}{\hbar} \sum_{ks} J_k(R) n_{ks} = \frac{e}{\hbar R} \sum_{ks} \frac{\partial \epsilon_{k-\phi}}{\partial k} \langle c_{ks}^{\dagger} c_{ks} \rangle, \quad (\text{B8})$$

where $J_k = \partial\epsilon_{k-\phi}/\partial k$ is the group velocity of the state with angular momentum $\hbar k$ and the occupation probability n_{ks} is obtained using a Bogoliubov transformation:

$$n_{ks} = \langle c_{ks}^\dagger c_{ks} \rangle = \sum_{\alpha=\pm 1} \frac{\alpha}{2} \left(\frac{\epsilon_{k-\phi} + \epsilon_{-k-\phi+q}}{\sqrt{4\Delta^2 + (\epsilon_{k-\phi} + \epsilon_{-k-\phi+q})^2}} + \alpha \right) f(E_\alpha(k, \phi)). \quad (\text{B9})$$

Eqs. (B8) and (B9) are a closed-form solution for the total current in a superconducting flux threaded ring. The sum over k has to be computed numerically, though. As shown below, the expansion of $J_k(R)$ in powers of ϕ/R , provides a ϕ independent contribution which is paramagnetic for $q = 0$ and diamagnetic for $q = 1$ plus a contribution linear in ϕ , which is diamagnetic for $q = 0$ (Meissner effect) and paramagnetic for $q = 1$ ¹⁷.

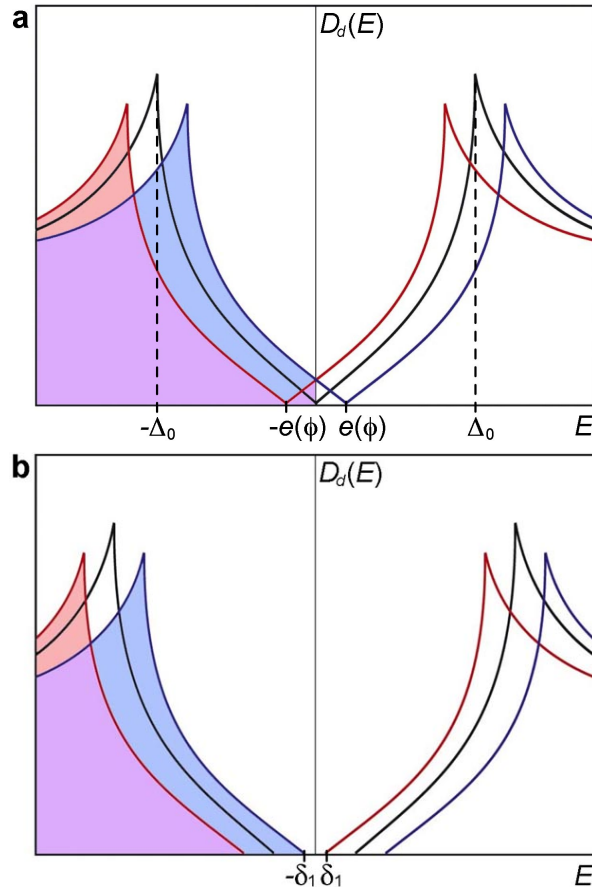


Figure 6 | Scheme for the density of states of a d -wave superconductor for a finite flux $|\phi| < 1/4$ ($q = 0$) (a) and for $1/4 < \phi < 3/4$ ($q = 1$) (b). The energies are Doppler shifted to higher (red) or lower energies (blue). This results in a double-peak structure of the coherence peaks and for $q = 0$ in an overlap of the upper and lower band in the region $-e(\phi) < E < e(\phi)$ ⁽¹⁸⁾. States in the upper band become partially occupied. For $q = 1$ there is a gap of width $2\delta_1 \equiv 2\delta_1(\phi)$. The black line in **b** represents the density of states for $\phi = 1/2$.

In the following we restrict the discussion to the flux interval $-1/4 \leq \phi \leq 1/4$ where $q = 0$ in the ground state. We assume that $R \gg 1$ and expand $\epsilon_{\pm k - \phi}$ and J_k in ϕ/R for $k \geq 0$ as:

$$\epsilon_{\pm} = \epsilon_{\pm k - \phi} = \epsilon_k \pm \frac{2t}{R} \phi \sqrt{1 - \left(\frac{\epsilon_k}{2t}\right)^2} + \mathcal{O}((\phi/R)^2), \quad (\text{B10})$$

$$J_{\pm}(R) = J_{\pm k}(R) = \mp \frac{2te}{R} \left[\sqrt{1 - \left(\frac{\epsilon_k}{2t}\right)^2} \mp \frac{\phi}{R} \frac{\epsilon_k}{2t} \right] + \mathcal{O}((\phi/R)^2). \quad (\text{B11})$$

To leading order, the quasiparticle energies in the superconducting state become

$$E_{\pm} = E_{\pm}(\pm k, \phi) = E \pm \frac{2t}{R} \phi \sqrt{1 - \left(\frac{\epsilon_k}{2t}\right)^2} + \mathcal{O}((\phi/R)^2), \quad (\text{B12})$$

with $E = \sqrt{\epsilon_k^2 + \Delta^2}$ if $\epsilon_k > 0$ and $E = -\sqrt{\epsilon_k^2 + \Delta^2}$ if $\epsilon_k < 0$. In the vicinity of the Fermi energy E_F , this simplifies to $\epsilon_{\pm} = \epsilon_k \pm e(\phi)$ and $E_{\pm} = E \pm e(\phi)$. Converting the sum over k in Eq. (B8) to an integral over the normal state energy ϵ_k , the total current becomes

$$J(R) = \int d\epsilon D(\epsilon) n_+(\epsilon) J_+(R, \epsilon) + \int d\epsilon D(\epsilon) n_-(\epsilon) J_-(R, \epsilon) + \mathcal{O}((\phi/R)^2), \quad (\text{B13})$$

where

$$n_{\pm}(\epsilon) = n_{\pm k} = \sum_{\alpha=\pm 1} \frac{\alpha}{2} \left(\frac{|\epsilon|}{\sqrt{\Delta^2 + \epsilon^2}} + \alpha \right) f(E_{\pm}) \quad (\text{B14})$$

and $D(\epsilon)$ is the DOS of the normal state. With $\epsilon = \pm\sqrt{E^2 - \Delta^2}$ we rewrite Eq. (B13) as

$$J(R) = N_0 \int_{-2t}^{2t} dE \left[\sum_{\alpha=\pm 1} \frac{1}{2} (\alpha + D_s(E)) f(E + e(\phi)) J_-(E) + \sum_{\alpha=\pm 1} \frac{1}{2} (\alpha + D_s(E)) f(E - e(\phi)) J_+(E) \right] \quad (\text{B15})$$

where we assume $D(\epsilon) = N_0$ constant in the vicinity of E_F and $D_s(E)$ is the DOS in the s -wave superconductor: $D_s(E) = |E|/\sqrt{E^2 - \Delta^2}$ if $|E| > \Delta$ and $D_s(E) = 0$ if $|E| < \Delta$. At $T = 0$ the current can be separated into two contributions $J(R) = J_1(R) + J_2(R)$, where J_1 contains all the contributions from the states which are below E_F within the interval $-1/4 < \phi < 1/4$ representing the standard supercurrent and J_2 contains the additional contributions from $J(R)$ which appear if $e(\phi) > \Delta$. One finds:

$$J_1(R) = -N_0 \frac{2e}{hR^2} \phi \int_{-2t}^{-e(\phi)} dE |E| \cong -N_0 \frac{e}{h} \phi \left(\frac{2t}{R} \right)^2, \quad (\text{B16})$$

$$J_2(R) = N_0 \frac{2te}{hR} \int_{-e(\phi)}^{e(\phi)} dE D_s(E) + \mathcal{O}\left(\frac{\phi^3}{R^5}\right), \quad (\text{B17})$$

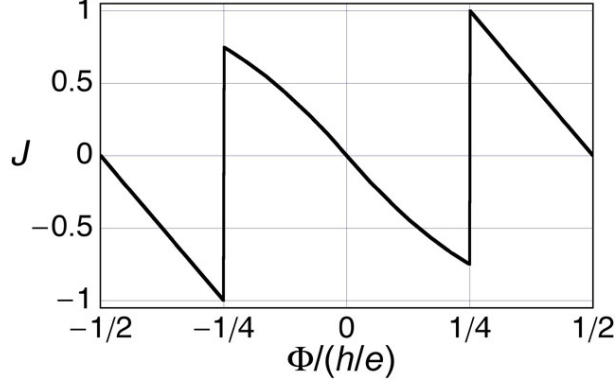


Figure 7 | The current in a thin d -wave ring as a function of flux Φ (in arbitrary units). Shown is the result of the multi-channel model for the characteristic value $2t/(\Delta_0 R) = 0.4$. For $-h/4e < \Phi < h/4e$, where $q = 0$, the current is reduced by a contribution proportional to Φ^2 , whereas it is strictly linear in Φ otherwise. This gives rise to an overall current periodicity of h/e .

where the upper integration boundary in Eq. (B16) is extended to zero.

We replace now $D_s(E)$ in Eq. (26) by the DOS $D_d(E)$ of a d -wave superconductor as shown in Fig. 6 a. For finite flux ϕ , all energy levels are shifted according to the magnetic moment of their current; this results in a Doppler shift of the coherence peaks¹⁸. In the relevant regime $\Delta_0 > e(\phi)$, it is sufficient to approximate $D_d(E) \simeq |E|/\Delta_0$ (Fig. 6 a) and

$$J_2(R) \simeq \frac{N_0}{\Delta_0} \frac{2te}{hR} \int_{-e(\phi)}^{e(\phi)} dE |E| = \frac{N_0}{\Delta_0} \frac{e}{h} \phi^2 \left(\frac{2t}{R} \right)^3. \quad (\text{B18})$$

The total current $J(R) = J_1(R) + J_2(R)$ of this channel becomes

$$J(R) = -N_0 \frac{e}{h} \phi \left(\frac{2t}{R} \right)^2 \left[1 - \phi \frac{2t}{\Delta_0 R} \right]. \quad (\text{B19})$$

The normal state DOS, $N_0 = R/(2t)$, is itself a function of R . The total current J for $q = 0$ in a ring of finite thickness D and inner radius $R_<$ is obtained from

$$J = \int_{R_<}^{R_<+D} dR (J_1(R) + J_2(R)). \quad (\text{B20})$$

$$= -N_0 \frac{e}{h} \phi (2t)^2 \frac{D}{R_<(R_<+D)} \left[1 - \phi \frac{2t}{\Delta_0} \frac{D+2R_<}{2R_<(R_<+D)} \right]. \quad (\text{B21})$$

In the limit of thin rings ($R_< \gg D$), we introduce $d = 2R_<$ in units of the lattice constant a and find that the ratio

$$\frac{J_2}{J_1} = 2 \frac{2t}{\Delta_0} \frac{\phi}{d} \quad (\text{B22})$$

shows the same power law in $1/d$ as for a single channel.

For $q = \pm 1$, an energy gap $\Delta(q) > e(\phi)$ persists for all ϕ , thus a flux induced effective gap $2\delta_1(\phi)$ is present, as shown in Fig. 6 b. Therefore calculations as above are valid also for this flux window, however $J_2(R) = 0$. Only the standard supercurrent component contributes to the total current with $J(R) = J_1(R)$ for each channel (Fig. 7).

Because J_2 is finite for even q but zero for odd q , whereas J_1 is identical for all q , we find that J_1 is periodic with $h/2e$ and J_2 with h/e . The result in Eq. (B22) implies that the ratio of the h/e and the $h/2e$ Fourier component of the total current scales with the inverse ring diameter.

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